Francesca's Holdings CORP Form 4 March 16, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31,

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Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1 Name and Address of Departing Day

03/14/2017

Stock

1(b).

| 1. Name and Address of Reporting Person <u>*</u> HUMMEL LAURIE |                |   |            | 2. Issuer Name <b>and</b> Ticker or Trading Symbol |             |              |         |                             | 5. Relationship of Reporting Person(s) to<br>Issuer  |                   |              |  |  |
|--|----------------|---|------------|--|-------------|--------------|---------|-----------------------------|--|-------------------|--------------|--|--|
|  |                |   |            | Franceso   | ea's Hold   | ings COR     | P [FI   | RAN]                        | (Che   | ck all applicable | e)           |  |  |
|  | (Last)         | (First) (I                                      | Middle)    | 3. Date of Earliest Transaction                    |             |              |         |                             |  |                   |              |  |  |
|  |                |   |            | (Month/D   | ay/Year)    |              |         |                             | Director   |                   | Owner        |  |  |
| C/O FRANCESCA'S HOLDINGS                                       |                |   |            | 03/14/2017   |             |              |         |                             | X Officer (give title Other (specify below)  |                   |              |  |  |
| CORPORATION, 8760 CLAY   |                |   |            |  |             |              |         | Chief Merchandising Officer |  |                   |              |  |  |
|  | ROAD           |   |            |  |             |              |         |                             |  |                   |              |  |  |
|  |                | (Street)  |            | 4. If Amei   | ndment, Da  | ate Original |         |                             | 6. Individual or J   | oint/Group Filir  | ng(Check     |  |  |
|  |                |   |            | Filed(Month/Day/Year)                              |             |              |         |                             | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |                   |              |  |  |
|  | HOUSTON,       | TX 77080  |            |  |             |              |         |                             |  |                   |              |  |  |
|  | (City)         | (State)   | (Zip)      | Table  | e I - Non-I | Derivative S | Securit | ties Acq                    | quired, Disposed o   | of, or Beneficial | ly Owned     |  |  |
|  | 1.Title of     | 2. Transaction Dat                              | e 2A. Deer | med  | 3.          | 4. Securit   | ies Ac  | quired                      | 5. Amount of   | 6. Ownership      | 7. Nature of |  |  |
|  | Security       | ity (Month/Day/Year) Executi                    |            | n Date, if   | Transacti   |              |         |                             | Securities   | Form: Direct      | Indirect     |  |  |
|  | (Instr. 3) any |   | -          |  | Code        |              |         |                             | Beneficially   | Beneficial        |              |  |  |
|  |                | (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) |            | 5)   | Owned       | Ownership    |         |                             |  |                   |              |  |  |
|  |                |   |            |  |             |              |         |                             | Following<br>Reported  | (Instr. 4)        | (Instr. 4)   |  |  |
|  |                |   |            |  |             |              | (A)     |                             | Transaction(s)   |                   |              |  |  |
|  |                |   |            |  | C 1 3       |              | or      | ъ.                          | (Instr. 3 and 4)   |                   |              |  |  |
|  | C              |   |            |  | Code V      |              | (D)     | Price                       |  |                   |              |  |  |
|  | Common         | 03/14/2017                                      |            |  | ٨           | 10,867       | ۸       | ٩.0                         | 12.760   | D                 |              |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

\$0

42,760

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(1)

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| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exer    | cisable and | 7. Title           | and      | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------|------------|-----------------|-------------|--------------------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration Date |             | Amour              | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/     | Year)       | Underl             | ying     | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e               |             | Securit            | ies      | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |             | Securities |                 |             | (Instr. :          | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |             | Acquired   |                 |             |                    |          |             | Follo  |
|             | ·           |                     |                    |             | (A) or     |                 |             |                    |          |             | Repo   |
|             |             |                     |                    |             | Disposed   |                 |             |                    |          |             | Trans  |
|             |             |                     |                    |             | of (D)     |                 |             |                    |          |             | (Instr |
|             |             |                     |                    |             | (Instr. 3, |                 |             |                    |          |             |        |
|             |             |                     |                    |             | 4, and 5)  |                 |             |                    |          |             |        |
|             |             |                     |                    |             |            |                 |             |                    | A        |             |        |
|             |             |                     |                    |             |            |                 |             |                    | Amount   |             |        |
|             |             |                     |                    |             |            | Date E          | Expiration  | or<br>Title Number |          |             |        |
|             |             |                     |                    |             |            | Exercisable     | Date        |                    |          |             |        |
|             |             |                     |                    | ~           |            |                 |             |                    | of       |             |        |
|             |             |                     |                    | Code V      | (A) (D)    |                 |             |                    | Shares   |             |        |

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HUMMEL LAURIE C/O FRANCESCA'S HOLDINGS CORPORATION 8760 CLAY ROAD HOUSTON, TX 77080

Chief Merchandising Officer

#### **Signatures**

/s/ Kal Malik, as attorney in fact 03/16/2017

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of restricted stock under the Francesca's Holdings Corporation 2015 Equity Incentive Plan which vests in one installment on the third anniversary of the grant date, subject to the Reporting Person's continued employment with the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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