

Kaganowicz Alexander  
 Form 4  
 July 20, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Kaganowicz Alexander

2. Issuer Name and Ticker or Trading Symbol  
 RESEARCH FRONTIERS INC  
 [REFR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 07/19/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)

C/O RESEARCH FRONTIERS  
 INC., 240 CROSSWAYS PARK  
 DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

WOODBURY, NY 11797

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
				(A) or (D)	Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 9.8	07/19/2017		P	32,378	07/19/2017	12/02/2017	Common Stock	32,378
Stock Option (right to buy)	\$ 3.69	07/19/2017		P	5,200	07/19/2017	12/31/2019	Common Stock	5,200
Stock Option (right to buy)	\$ 3.7	07/19/2017		P	2,586	07/19/2017	12/31/2023	Common Stock	2,586
Stock Option (right to buy)	\$ 5.56	07/19/2017		P	12,057	07/19/2017	12/30/2023	Common Stock	12,057
Stock Option (right to buy)	\$ 5.19	07/19/2017		P	892	07/19/2017	12/30/2024	Common Stock	892
Stock Option (right to buy)	\$ 5.26	07/19/2017		P	495	07/19/2017	12/30/2025	Common Stock	495

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kaganowicz Alexander C/O RESEARCH FRONTIERS INC. 240 CROSSWAYS PARK DRIVE WOODBURY, NY 11797	X			

## Signatures

/s/ Alexander  
Kaganowicz

07/19/2017

\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All options in Table II were purchased by the reporting person from the Estate of Robert L. Saxe for a total amount of \$3,000. The allocated price for each option was not assigned.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.