Brown George Garvin IV Form 4 May 02, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL OMB

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Check this box if no longer subject to Section 16. Form 4 or

SECURITIES Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction 1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

850 DIXIE HWY

1. Name and Address of Reporting Person * Brown George Garvin IV

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

BROWN FORMAN CORP

[BFA/BFB]

3. Date of Earliest Transaction (Month/Day/Year)

04/30/2012

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

X Director 10% Owner Other (specify X_ Officer (give title below)

Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

LOUISVILLE, KY 40210

(City)	(State) ((Zip) Table	e I - Non-D	Derivative Securities Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common			Code V	Amount (D) Trice	120,000	D	
Class A Common					402,155	I	GGB4 2012 LLC
Class A Common					2,642,357	I	CBGB LLC
Class A Common					34,767	I	Trust u/a FBO Geo. Garvin Brown IV
					353,036	I	

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Class A Common								GGB4 2010#1 LLC
Class A Common						3,419	I	Crumney Trust
Class A Common						1,271	I	By Spouse
Class A Common						14	I	By G. Garvin Brown III estate
Class B Common	04/30/2012	M	820	A	\$ 24.7	148,189	D	
Class B Common						19,229	I	GGB4 2012 LLC
Class B Common						360,589	I	CBGB, LLC
Class B Common						10,792	I	Trust u/a FBO Geo. Garvin Brown IV
Class B Common						52,200	I	GGB4 2010 #2 LLC
Class B Common						1,513	I	Crumney Trust
Class B Common						6,877.973 <u>(1)</u>	I	401(k) Plan
Class B Common						1,831	I	By G. Garvin Brown III estate

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onof	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Derivative	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Secur Acqui (A) or Dispo of (D) (Instr. and 5)	ired r osed) . 3, 4,				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shares
Non-Qualified Stock Option (right to buy)	\$ 24.7	04/30/2012		M		820	05/01/2005	04/30/2012	Class B Common	820
Non-Qualified Stock Option (right to buy)	\$ 30.18						05/01/2006	04/30/2013	Class B Common	1,179
Non-Qualified Stock Option (right to buy)	\$ 35.83						05/01/2007	04/30/2014	Class B Common	1,938
Stock Appreciation Right	\$ 45.53						05/01/2008	04/30/2015	Class B Common	670
Stock Appreciation Right	\$ 55.69						05/01/2009	04/30/2016	Class B Common	920
Stock Appreciation Right	\$ 53.8						05/01/2010	04/30/2017	Class B Common	1,053
Stock Appreciation Right	\$ 56.58						05/01/2011	07/24/2018	Class B Common	967
Stock Appreciation Right	\$ 43.1						05/01/2012	04/30/2019	Class B Common	2,957
Restricted Stock Unit	<u>(2)</u>						(3)	(3)	Class B Common	545
Restricted Stock Unit	(2)						<u>(4)</u>	<u>(4)</u>	Class B Common	2,078

Reporting Owners

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
	X		Executive Vice President			

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Brown George Garvin IV 850 DIXIE HWY LOUISVILLE, KY 40210

Signatures

Diane M. Barhorst, Atty. in Fact for George Garvin Brown IV

05/02/2012

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Number of shares the reporting person has acquired under the Brown-Forman 401(k) plan as of April 30, 2012.
- (2) Each restricted stock unit represents a contingent right to receive one share of Brown-Forman Class B common stock.
- (3) The restricted stock units vest April 30, 2014.
- (4) The restricted stock units vest April 30, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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