### Edgar Filing: BOUSQUET CHAVANNE PATRICK - Form 4/A

#### **BOUSQUET CHAVANNE PATRICK**

Form 4/A August 05, 2011

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

850 DIXIE HWY

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BOUSQUET CHAVANNE** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

**PATRICK** 

BROWN FORMAN CORP [BFA, BFB1

(Check all applicable)

(First) (Middle) 3. Date of Earliest Transaction

X\_ Director 10% Owner Other (specify Officer (give title

(Month/Day/Year) 07/28/2011

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

08/01/2011

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

LOUISVILLE, KY 40210

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

4. Securities 3. TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

(A) or

Reported Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amor 4. Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative **Expiration Date** Underlying Secur or Exercise Code (Month/Day/Year) (Instr. 3 and 4) Security Securities any

## Edgar Filing: BOUSQUET CHAVANNE PATRICK - Form 4/A

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	f			
				Code V	(A) (D	) Date Exercisable	Expiration Date	Title	Am or Num of S
Non-Qualified Stock Option (Right to Buy)	\$ 44.22 ( <u>2)</u>					05/26/2005	04/30/2014	Class B Common	57
Stock Appreciation Right (1)	\$ 45.53 (3)					07/28/2005	04/30/2015	Class B Common	6,
Stock Appreciation Right (1)	\$ 55.69 (4)					07/27/2006	04/30/2016	Class B Common	5,
Stock Appreciation Right (1)	\$ 53.8 (5)					07/26/2007	04/30/2017	Class B Common	6,
Stock Appreciation Right (1)	\$ 56.58 (6)					07/24/2008	04/30/2018	Class B Common	6,
Stock Appreciation Right (1)	\$ 43.1 <u>(7)</u>					07/23/2009	04/30/2019	Class B Common	7,
Stock Appreciation Right (1)	\$ 61.24 (8)					07/22/2010	04/30/2020	Class B Common	3,
Deferred Stock Units	<u>(9)</u>	07/28/2011		A	811.36	(10)	(10)	Class B Common	81

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BOUSQUET CHAVANNE PATRICK							
850 DIXIE HWY	X						
LOUISVILLE, KY 40210							

# **Signatures**

Diane M. Barhorst, Attn in Fact for: Patrick
Bousquet-Chavanne 08/05/2011

Reporting Owners 2

### Edgar Filing: BOUSQUET CHAVANNE PATRICK - Form 4/A

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All outstanding derivative security amounts and exercise prices were adjusted on December 10, 2010, the record date for the Issuer's December 28, 2010 special cash dividend.
- These non-qualified stock options were previously reported as covering 564 shares at an exercise price of \$44.86, but were adjusted to reflect the December 28, 2010 special cash dividend.
- (3) These stock appreciation rights were previously reported as covering 6,498 shares at an exercise price of \$46.19, but were adjusted to reflect the December 28, 2010 special cash dividend.
- (4) These stock appreciation rights were previously reported as covering 5,588 shares at an exercise price of \$56.50, but were adjusted to reflect the December 28, 2010 special cash dividend.
- (5) These stock appreciation rights were previously reported as covering 6,580 shares at an exercise price of \$54.58, but were adjusted to reflect the December 28, 2010 special cash dividend.
- These stock appreciation rights were previously reported as covering 6,267 shares at an exercise price of \$57.40, but were adjusted to reflect the December 28, 2010 special cash dividend.
- These stock appreciation rights were previously reported as covering 7,700 shares at an exercise price of \$43.72, but were adjusted to reflect the December 28, 2010 special cash dividend.
- (8) These stock appreciation rights were previously reported as covering 2,959 shares at an exercise price of \$62.13, but were adjusted to reflect the December 28, 2010 special cash dividend.
- Under the Brown-Forman Corporation Non-Employee Director Deferred Stock Unit Program each DSU represents the right to receive one share of the Company's Class B common stock. Grants made on July 28, 2011, were based on the closing price of the Company's Class B common stock on that date (\$73.95). On each dividend payment date, participants are credited with DSU equivalents.
- (10) Annual grants of DSUs vest over the course of the Board year. DSUs are paid out in class B common stock on the first February 1 that is at least six months following the Director's termination from Board service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3