Edgar Filing: Brown George Garvin IV - Form 4

Brown George Garvin IV Form 4 December 13, 2007 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Brown George Garvin IV Issuer Symbol **BROWN FORMAN CORP** (Check all applicable) [BFA/BFB] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 850 DIXIE HWY 12/13/2007 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Dav/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting LOUISVILLE, KY 40210 Person (Zip) (City) (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of 6. Ownership Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial any (Month/Day/Year) Ownership (Instr. 8) Owned Direct (D) Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price George Class A Garvin 12/13/2007 **J**(1) 2,615,933 D (1) 381,811 I Common Brown III Trust UW Class A CBGB

12/13/2007 **J**(1) 2,642,357 (1) Ι A 2,642,357 Common LLC Class B 831 (2) D Common Class B By 401(k) 3.236.2 I Common Plan

Edgar Filing: Brown George Garvin IV - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 31.33					05/01/2005	04/30/2012	Class B Common	646
Non-Qualified Stock Option (right to buy)	\$ 38.27					05/01/2006	04/30/2013	Class B Common	929
Non-Qualified Stock Option (right to buy)	\$ 45.44					05/01/2007	04/30/2014	Class B Common	1,528
Stock Appreciation Right	\$ 57.74					05/01/2008	04/30/2015	Class B Common	528
Stock Appreciation Right	\$ 70.63					05/01/2009	04/30/2016	Class B Common	725
Stock Appreciation Right	\$ 68.22					05/01/2010	04/30/2017	Class B Common	830

Reporting Owners

Reporting Owner Name / Address

Relationships

Brown George Garvin IV 850 DIXIE HWY LOUISVILLE, KY 40210

Х

Signatures

Holli H. Lewis, Atty. in Fact for George Garvin Brown IV

**Signature of Reporting Person

12/13/2007

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On December 13, 2007, the reporting person became a member and manager of CBGB LLC (the "LLC"), and acquired beneficial (1) ownership of the securities held by the LLC. Also on December 13, 2007, the George Garvin Brown III Trust UW contributed 2,615,933

shares of BFA to the LLC.(2) Includes shares held under the Brown-Forman Dividend Reinvestment Plan as of December 10, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.