## Edgar Filing: Rothenstein David M - Form 4

Rothenstein	David M												
Form 4													
December 18	8, 2009												
FORM 4 LINITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB AI	OMB APPROVAL					
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						COMMISSION	OMB Number:	3235-0287				
Check the if no long									Expires:	January 31,			
subject to		MENT OF	CHAN	GES IN	BE	NEFI	CIAL	OW	NERSHIP OF	Estimated a	2005 d average		
0	Section 16.				SECURITIES					burden hou			
Form 4 o		_									response 0.5		
Form 5 obligation	no *							•	e Act of 1934,				
may cont				•		-			f 1935 or Section	1			
<i>See</i> Instru 1(b).	uction	30(n) (	of the Inv	vestment	t Co	mpany	Act	of 194	ŧŪ				
(Print or Type F	Responses)												
Rothenstein David M Symbol									5. Relationship of Reporting Person(s) to Issuer				
			CIENA CORP [CIEN]						(Check all applicable)				
(Last)	(First)		3. Date of		rans	action							
C/O CIENA	CORPORATIO		(Month/D 12/16/20	•					Director X Officer (give		Owner er (specify		
WINTERSC		JIN, 1201	12/10/20	109					below)	below) General Coun			
(Street) 4.			4. If Ame	4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
Filed(Mc				ed(Month/Day/Year)					Applicable Line)				
LINTHICU	M,, MD 21090								_X_ Form filed by C Form filed by M Person				
(City)	(State)	(Zip)	Table	e I - Non-l	Deri	vative S	ecurit	ies Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of	2. Transaction Da			3.						6. Ownership			
Security (Instr. 3)	(Month/Day/Year		on Date, if Transaction(A) or Disposed of					of	Securities Beneficially	Form: Direct (D) or	Indirect Beneficial		
(IIIsu. 5)		any (Month/D	Code (D) /Day/Year) (Instr. 8) (Instr. 3, 4 and 5)					•	Indirect (I)	Ownership			
							(A)		Reported Transaction(s)				
							or		(Instr. 3 and 4)				
Common				Code V		Amount	(D)	Price					
Common Stock	12/16/2009			А	(1	8,000	А	\$0	152,473 <u>(2)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amor Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
		Code N		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships								
L O	Director	10% Owner	Officer	Other					
Rothenstein David M C/O CIENA CORPORATION 1201 WINTERSON ROAD LINTHICUM,, MD 21090			Sr. VP, General Counsel						
Signatures									
By: Erik J. Lichter For: David M. Rothenstein			12/17/2009						
**Signature of Reporting Person	ı		Date						
Explanation of Responses:									

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects Restricted Stock Units (RSUs) that vest over four years, with one-sixteenth of the grant amount vesting on March 20, June 20, (1) September 20, and December 20 of each year, commencing on March 20, 2010.
- (2) Shares reported include unvested Restricted Stock Units (RSUs).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.