Smestad Jennifer O. Form 4 February 07, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Expires:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, 2005

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. *See* Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Smestad Jennifer O.			Issuer Name and Ticker or Trading     Symbol     Otter Tail Corp [OTTR]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check an approache)
215 S CASCADE ST			(Month/Day/Year) 02/05/2018	Director 10% Owner _X_ Officer (give title Other (specify below)  VP, Gen Coun & Corp Sec
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check
FERGUS FA	LLS, MN 5	6537-2801	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person

(City)	(State) (Z	Zip) Table	I - Non-De	erivative Securities A	equired, Disposed	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock					98 (1)	D	
Common Stock					2.333	I	By daughter
Common Stock					374	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

#### Edgar Filing: Smestad Jennifer O. - Form 4

#### number.

8. I De Sec (In

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	e Expiration Da	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(2)	02/05/2018		A	1,200	02/06/2019	(3)	Common Stock	1,200	
Restricted Stock Units	(2)					04/14/2014	<u>(4)</u>	Common Stock	250	
Restricted Stock Units	<u>(2)</u>					04/13/2015	<u>(4)</u>	Common Stock	250	
Restricted Stock Units	<u>(2)</u>					04/13/2016	<u>(4)</u>	Common Stock	300	
Restricted Stock Units	<u>(2)</u>					04/10/2017	<u>(4)</u>	Common Stock	225	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>--</b>	Director	10% Owner	Officer	Other		
Smestad Jennifer O.						
215 S CASCADE ST			VP, Gen Coun & Corp Sec			
FERGUS FALLS, MN 56537-2801						

### **Signatures**

/s/ Jennifer O Smestad by Ella Leapaldt,
Attorney-in-Fact 02/07/2018

\*\*Signature of Reporting Person Date

Reporting Owners 2

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total direct holdings include shares held jointly with spouse and acquired through the dividend reinvestment plan and the Employee Stock Purchase Plan.
- (2) Each restricted stock unit represents a contingent right to receive one share of Otter Tail Corporation common stock.
- (3) The restricted stock units vest in four equal annual installments beginning the date shown above.
- (4) Restricted stock units vest four years from date shown above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.