Smestad Jennifer O. Form 3 January 02, 2018

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Otter Tail Corp [OTTR] Smestad Jennifer O. (Month/Day/Year) 01/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 215 S CASCADE ST (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) **FERGUS** Form filed by More than One VP, Gen Coun & Corp Sec FALLS, MNÂ 56537-2801 Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 98 (1) Common Stock 2.333 I By daughter Common Stock 374 Ι **ESOP** Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)	

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	04/14/2014	(2)	Common Stock	250	\$ <u>(3)</u>	D	Â
Restricted Stock Units	04/13/2015	(2)	Common Stock	250	\$ <u>(3)</u>	D	Â
Restricted Stock Units	04/13/2016	(2)	Common Stock	300	\$ (3)	D	Â
Restricted Stock Units	04/10/2017	(2)	Common Stock	225	\$ (3)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
reporting 6 wher runne, radices	Director	10% Owner	Officer	Other	
Smestad Jennifer O. 215 S CASCADE ST FERGUS FALLS, MN 56537-2801	Â	Â	VP, Gen Coun & Corp Sec	Â	

## **Signatures**

/s/ Jennifer O Smestad by Ella Leapaldt, Attorney-in-Fact

01/02/2018

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total direct holdings include shares held jointly with spouse and acquired through the dividend reinvestment plan and the Employee Stock Purchase Plan.
- (2) Restricted stock units vest four years from date shown above.
- (**3**) 1 for 1

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#### **Remarks:**

Exhibit 24, Power-of-Attorney attached.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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