BANC OF CALIFORNIA, INC.

Form 3

February 21, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB 3235-0104 Number:

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

BANC OF CALIFORNIA, INC. [BANC]

30(h) of the Investment Company Act of 1940

Statement

(Print or Type Responses)

Person *

1. Name and Address of Reporting

 LASHLEY RICHARD J (Month/Day/Year) 02/16/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O BANC OF CALIFORNIA, (Check all applicable) INC., 18500 VON KARMAN **AVENUE, SUITE 1100** 10% Owner Director (Street) Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) _X_ Form filed by One Reporting Person IRVINE. CAÂ 92612 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned (Instr. 4) Ownership Ownership (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 10,000 D Common Stock 2,626,643 Ι By PL Capital, LLC (1) By Goodbody/PL Capital, LLC Common Stock 738,576 Ι (2) Common Stock 36,500 Ι By PL Capital Plus, LLC (3) Common Stock 10,000 Ι By Richard Lashley ROTH IRA Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: BANC OF CALIFORNIA, INC. - Form 3

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2. Date Exercisable and 3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 4. 5. Ownership Securities Underlying Conversion Beneficial Ownership (Instr. 4) **Expiration Date** (Month/Day/Year) **Derivative Security** Form of (Instr. 5) or Exercise (Instr. 4) Price of Derivative Derivative Security: Date Expiration Security Direct (D) Date Amount or Exercisable or Indirect Title Number of Shares (Instr. 5)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LASHLEY RICHARD J C/O BANC OF CALIFORNIA, INC. 18500 VON KARMAN AVENUE, SUITE 1100 IRVINE, CAÂ 92612

Â Â Â

Signatures

/s/ Albert Wang, Attorney-in-Fact

02/21/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

PL Capital, LLC is a Delaware limited liability company and the sole General Partner of Financial Edge Fund, L.P., Financial Edge-Strategic Fund, L.P. and PL Capital/Focused Fund, L.P., each a Delaware limited partnership. Mr. Lashley is the holder of a 50%

- (1) equity interest in, and one of two Managing Members of, PL Capital, LLC. He reports all shares held by the limited partnerships as it is impractical to determine his proportionate interest in such limited partnerships, which may include interests he holds as a direct or indirect limited partner. Mr. Lashley disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
 - Goodbody/PL Capital, LLC is a Delaware limited liability company and the sole General Partner of Goodbody/PL Capital, L.P., a Delaware limited partnership. Mr. Lashley is the holder of a 50% equity interest in, and one of two Managing Members of, Goodbody/PL
- (2) Capital, LLC. He reports all shares held by the limited partnership as it is impractical to determine his proportionate interest in such limited partnerships, which may include interests he holds as a direct or indirect limited partner. Mr. Lashley disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
 - PL Capital Plus, LLC is a Delaware limited liability company and the sole General Partner of PL Capital Plus Fund, L.P., a Delaware limited partnership. Mr. Lashley is the holder of a 50% equity interest in, and one of two Managing Members of, PL Capital Plus, LLC.
- (3) He reports all shares held by the limited partnership as it is impractical to determine his proportionate interest in such limited partnerships, which may include interests he holds as a direct or indirect limited partner. Mr. Lashley disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2