SEMTECH CORP

Form 4 August 17, 2015

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

**SECURITIES** 

burden hours per 0.5 response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * Pegulu Marc			2. Issuer Name and Ticker or Trading Symbol SEMTECH CORP [SMTC]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(First) (Middle)	3. Date of Earliest Transaction	(Check all applicable)			
200 FLYNN ROAD			(Month/Day/Year) 08/12/2015	Director 10% Owner _X Officer (give title Other (specify below)  Vice President			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
CAMARILLO, CA 93012-8790			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

#### CAMARILLO, CA 93012-8/90

(City)	(State) (	Zip) Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	08/13/2015		M	2,125	A	\$0	5,737	D	
Common Stock	08/13/2015		F	799	D	\$ 17.14	4,938	D	
Common Stock	08/14/2015		M	750	A	\$ 0	5,688	D	
Common Stock	08/14/2015		F	282	D	\$ 17.14	5,406	D	
Common Stock	08/15/2015		M	900	A	\$0	6,306	D	

### Edgar Filing: SEMTECH CORP - Form 4

Common Stock	08/15/2015	F	339	D	\$ 17.13	5,967	D
Common Stock	08/17/2015	M	750	A	\$ 0	6,717	D
Common Stock	08/17/2015	F	282	D	\$ 17.13	6,435	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Numb orDerivati Securitie Acquired Disposed (Instr. 3, 5)	ve es d (A) or d of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 17.14	08/12/2015		A	7,500		<u>(1)</u>	08/12/2021	Common Stock	7,500
Restricted Stock Unit	(2)	08/12/2015		A	7,500		<u>(1)</u>	<u>(1)</u>	Common Stock	7,500
Restricted Stock Unit	(2)	08/13/2015		M		2,125	(3)	<u>(3)</u>	Common Stock	2,125
Restricted Stock Unit	<u>(2)</u>	08/14/2015		M		750	<u>(4)</u>	<u>(4)</u>	Common Stock	750
Restricted Stock Unit	<u>(2)</u>	08/15/2015		M		900	(5)	<u>(5)</u>	Common Stock	900
Restricted Stock Unit	<u>(2)</u>	08/17/2015		M		750	(6)	<u>(6)</u>	Common Stock	750

# **Reporting Owners**

Relationships Reporting Owner Name / Address

Reporting Owners 2

### Edgar Filing: SEMTECH CORP - Form 4

Director 10% Owner Officer Other

Pegulu Marc 200 FLYNN ROAD CAMARILLO, CA 93012-8790

Vice President

## **Signatures**

Marc Pegulu by Charles B. Ammann under Power of Attorney dated June 16, 2015 (Copy On File

08/17/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This grant vests in four annual installments beginning on August 12, 2016.
- (2) Each Restricted Stock Unit represents the contingent right to one share of the company's common stock.
- (3) This grant vests in four annual installments beginning on August 13, 2015.
- (4) This grant vests in four annual installments beginning on August 14, 2014.
- (5) This grant vests in four annual installments beginning on August 15, 2013.
- (6) This grant vests in four annual installments beginning on August 17, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3