MidWestOne Financial Group, Inc.

Form 4

March 22, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MORRISON JOHN M

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

below)

Symbol

MidWestOne Financial Group, Inc.

(Check all applicable)

[MOFG]

(Month/Day/Year)

03/22/2017

(Last) (First) 3. Date of Earliest Transaction

X\_ Director Officer (give title

X\_\_ 10% Owner Other (specify

102 S. CLINTON STREET, P.O.

(Street)

**BOX 1700** 

(City)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

IOWA CITY, IA 52244-1700

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

(State)

Execution Date, if (Month/Day/Year)

(Middle)

(Zip)

3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) or Indirect Following Reported (I)

(Instr. 4)

I

7. Nature of Indirect Beneficial Ownership (Instr. 4)

(A) or Code V Amount (D)

Transaction(s) (Instr. 3 and 4)

> By self as trustee of

Common 03/22/2017 Stock

2,000,000 S (1)

D 245,693 32.88

Price

the John M. Morrison

Revocable Trust #4 (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

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# displays a currently valid OMB control

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired		ate	7. Title Amoun Underly Securit (Instr. 3	nt of ying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo
	Security				(A) or Disposed of (D) (Instr. 3, 4, and 5)						Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title I	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting of their radius of	Director	10% Owner	Officer	Other		
MORRISON JOHN M 102 S. CLINTON STREET P.O. BOX 1700 IOWA CITY, IA 52244-1700	X	X				
John M. Morrison Revocable Trust #4 102 S. CLINTON STREET P.O. BOX 1700 IOWA CITY, IA 52244-1700		X				

### **Signatures**

- <b>3</b>				
Kenneth R. Urmie, under Power of Attorney dated July 21, 2016, for John M. Morrison, Trustee, for the John M. Morrison Revocable Trust #4				
**Signature of Reporting Person	Date			
Kenneth R. Urmie, Corporate Secretary, for John M. Morrison under Power of Attorney dated July 21, 2016				
**Signature of Reporting Person	Date			

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold pursuant to an underwritten public offering transaction that settled March 22, 2017.
- (2) These shares are owned directly by the John M. Morrison Revocable Trust #4, a ten percent owner of the issuer, and indirectly by John M. Morrison as trustee of the trust. John M. Morrison is a director and ten percent owner of the issuer. Following the sale, the John M.

Reporting Owners 2

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Morrison Revocable Trust #4 ceased to be subject to Section 16 and will no longer be a reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.