MidWestOne Financial Group, Inc.

Form 5

January 20, 2017

#### **OMB APPROVAL** FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Number: January 31, Expires: 2005

3235-0362

**OMB** 

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box if

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per 1.0 response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4

Transactions Reported

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer MORRISON JOHN M Symbol MidWestOne Financial Group, Inc. (Check all applicable) [MOFG] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) \_X\_ Director \_X\_\_ 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2016 102 S. CLINTON STREET, P.O. **BOX 1700** 

> 4. If Amendment, Date Original (Street)

Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

IOWA CITY. IAÂ 52244-1700

(State)

(Zip)

(City)

Form Filed by One Reporting Person \_X\_ Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Tabl	le I - Non-Der	rivative Sec	urities	Acqui	ired, Disposed	of, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securiti (A) or Dis (D) (Instr. 3, 4)	sposed	of	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/20/2016	Â	G	20,890 (1)	D	\$0	2,245,693	I	By self as trustee of the John M. Morrison Revocable Trust #4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless **SEC 2270** (9-02)

### Edgar Filing: MidWestOne Financial Group, Inc. - Form 5

#### the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title a	and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration Da	ate	Amount	of	Derivative	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	
	Derivative				Securities			(Instr. 3	and 4)		
	Security				Acquired						
	•				(A) or						
					Disposed						
					of (D)						
					(Instr. 3,						
					4, and 5)						
									mount		
						Date	Expiration	01			
						Exercisable	Date	Title N	Number		
					(A) (To)			of			
					(A) (D)			S	hares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
MORRISON JOHN M 102 S. CLINTON STREET P.O. BOX 1700 IOWA CITY, IA 52244-1700	ÂX	ÂΧ	Â	Â			
John M. Morrison Revocable Trust #4 102 S. CLINTON STREET P.O. BOX 1700 IOWA CITY, IA 52244-1700	Â	ÂX	Â	Â			

### **Signatures**

0.9.14.4.00	
Kenneth R. Urmie, Corporate Secretary, under Power of Attorney dated July 21, 2016, for John M. Morrison	01/20/2017
**Signature of Reporting Person	Date
Kenneth R. Urmie, under Power of Attorney dated July 21, 2016, for John M. Morrison, Trustee, for the John M. Morrison Revocable Trust #4	01/20/2017
**Signature of Reporting Person	Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares gifted to the Community Foundation of Collier County (Naples, FL), a public registered charitable foundation. The reporting persons do not retain any direction on the public foundation investment and voting.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

### Edgar Filing: MidWestOne Financial Group, Inc. - Form 5

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.