

Edgar Filing: Apollo Global Management LLC - Form 8-K

Apollo Global Management LLC  
Form 8-K  
October 31, 2018

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

October 31, 2018  
Date of Report (Date of earliest event reported)

Apollo Global Management, LLC  
(Exact name of registrant as specified in its charter)

|                                                                                                                                           |                                       |                                                       |
|-------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|-------------------------------------------------------|
| Delaware<br>(State of Incorporation)                                                                                                      | 001-35107<br>(Commission File Number) | 20-8880053<br>(IRS Employer<br>Identification Number) |
| 9 West 57 <sup>th</sup> Street, 43 <sup>rd</sup> Floor<br>New York, New York 10019<br>(Address of principal executive offices) (Zip Code) |                                       |                                                       |

(212) 515-3200  
(Registrant's telephone number, including area code)

N/A  
(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

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Item 2.02 Results of Operations and Financial Condition.

On October 31, 2018, Apollo Global Management, LLC issued a summary press release and a detailed earnings presentation announcing its financial results for the third quarter ended September 30, 2018.

A copy of the summary press release and the earnings presentation are attached hereto as Exhibit 99.1 and Exhibit 99.2, respectively, and incorporated herein by reference.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

Exhibit No. Description

Exhibit 99.1 Summary press release of Apollo Global Management, LLC, dated October 31, 2018

Exhibit 99.2 Earnings presentation of Apollo Global Management, LLC, dated October 31, 2018

The information included in this Current Report on Form 8-K (including the exhibits hereto) is being furnished under Item 2.02, "Results of Operations and Financial Condition" and Item 9.01 "Financial Statements and Exhibits" of Form 8-K. As such, the information (including the exhibits) herein shall not be deemed to be "filed" for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that Section, nor shall it be incorporated by reference into a filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

APOLLO GLOBAL  
MANAGEMENT, LLC

Date: October 31, 2018 By: /s/ Martin Kelly  
Martin Kelly  
Chief Financial Officer

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EXHIBIT INDEX

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