

KAMON MARK S
Form 3
October 20, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â KAMON MARK S
(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)
10/10/2011

3. Issuer Name and Ticker or Trading Symbol
CARPENTER TECHNOLOGY CORP [CRS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner
X Officer ___ Other
(give title below) (specify below)
SVP - Commercial SAO

CARPENTER TECHNOLOGY CORPORATION,Â PO BOX 14662

(Street)

READING,Â PAÂ 19612

(City) (State) (Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

27,399.03 ⁽¹⁾

D

Â

Common Stock

288

I

by 401(k) Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | |
|--------------------------------------|------------------|-----------------|---------------------|----------------------------|------------------------------|--|---|
| Employee Stock Option (right to buy) | Â (2) | 06/30/2018 | Common Stock | 19,975 | \$ 43.65 | D | Â |
| Employee Stock Option (right to buy) | Â (3) | 07/29/2019 | Common Stock | 12,475 | \$ 17.29 | D | Â |
| Employee Stock Option (right to buy) | Â (4) | 07/30/2020 | Common Stock | 5,911 | \$ 34.95 | D | Â |
| Employee Stock Option (right to buy) | Â (5) | 07/28/2021 | Common Stock | 4,491 | \$ 56.52 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| KAMON MARK S CARPENTER TECHNOLOGY CORPORATION PO BOX 14662 READING, PA 19612 | Â | Â | Â SVP - Commercial SAO | Â |

Signatures

James D.
Dee/POA

10/20/2011

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted stock units granted under the Carpenter Technology Corporation Stock-Based Compensation Plan for Officers and Key Employees.
- (2) This award became exercisable in equal amounts on each of 6/30/09, 6/30/10 and 6/30/11.
- (3) One-third of this award became exercisable on each of 7/29/10 and 7/29/11. The remaining one-third becomes exercisable on 7/29/12.
- (4) One-third of this award became exercisable on 7/30/11. The remaining two-thirds will become exercisable in equal parts on 7/30/12 and 7/30/13.
- (5) One-third of this award becomes exercisable on each of 7/28/12, 7/28/13 and 7/28/14.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.