

Edgar Filing: People's United Financial, Inc. - Form 4

People's United Financial, Inc.
Form 4
December 04, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Sherringham Philip R

(Last) (First) (Middle)

C/O PEOPLE'S BANK, 850 MAIN
STREET

(Street)

BRIDGEPORT, CT 06604

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
People's United Financial, Inc.
[PBCT]

3. Date of Earliest Transaction
(Month/Day/Year)
12/03/2007

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)
Acting President/CEO/EVP/CFO

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/03/2007		M	22,200	A \$ 5.4508	639,908	D
Common Stock	12/03/2007		S	8,600	D \$ 17.1	631,308	D
Common Stock	12/03/2007		S	2,100	D \$ 17.11	629,208	D
Common Stock	12/03/2007		S	1,700	D \$ 17.12	627,508	D
Common Stock	12/03/2007		S	803	D \$ 17.13	626,705	D

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Common Stock	12/03/2007	S	997	D	\$ 17.14	625,708	D
Common Stock	12/03/2007	S	4,988	D	\$ 17.15	620,720	D
Common Stock	12/03/2007	S	2,712	D	\$ 17.16	618,008	D
Common Stock	12/03/2007	S	100	D	\$ 17.17	617,908	D
Common Stock	12/03/2007	S	200	D	\$ 17.19	617,708 ⁽¹⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Option to Buy	\$ 5.4508	12/03/2007		M	22,200	⁽²⁾ 04/10/2013	Common Stock 22,200

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Sherringham Philip R C/O PEOPLE'S BANK 850 MAIN STREET BRIDGEPORT, CT 06604	Acting President/CEO/EVP/CFO

Signatures

/s/ Philip R.
Sherringham

12/04/2007

__Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 7,513 shares owned indirectly through the People's United Bank 401(k) Plan. Also includes 18,788 investment units accrued under the People's United Bank excess benefits plan. Information is based on 9/30/07 Plan statement.
- (2) Not subject to previously reported periodic vesting due to discretionary acceleration in December 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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