

RICHARDSON ELECTRONICS LTD/DE

Form 3

February 06, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Heise Robert

(Last) (First) (Middle)

40W267 KESLINGER ROAD

(Street)

LAFOX, IL 60147

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/02/2007

3. Issuer Name and Ticker or Trading Symbol

RICHARDSON ELECTRONICS LTD/DE [REL]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Vice President and GM of DSG

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common \$.05 par value

3,066 ⁽¹⁾

D Heise

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date Exercisable Expiration
Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)Title Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Stock Option (Right to Buy)	09/14/2001 ⁽²⁾	09/14/2010	Common Stock \$.05 pv	10,000	\$ 13.813	D	Â
Stock Option (Right to Buy)	09/21/2002 ⁽³⁾	09/21/2011	Common Stock \$.05 pv	10,000	\$ 7.06	D	Â
Stock Option (Right to Buy)	09/10/2005 ⁽⁴⁾	09/10/2014	Common Stock \$.05 pv	4,115	\$ 7.75	D	Â
Stock Option (Right to Buy)	10/19/2006 ⁽⁵⁾	10/19/2015	Common Stock \$.05 pv	2,358	\$ 8.35	D	Â
Stock Option (Right to Buy)	10/17/2007 ⁽⁶⁾	10/17/2016	Common Stock \$.05 pv	2,000	\$ 8.58	D	Â
Stock Option (Right to Buy)	09/22/1999 ⁽⁷⁾	09/22/2008	Common Stock \$.05 pv	1,000	\$ 7	D	Â
Stock Option (Right to Buy)	10/27/2000 ⁽⁸⁾	12/06/2009	Common Stock \$.05 pv	1,050	\$ 6.75	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Heise Robert 40W267 KESLINGER ROAD LAFOX, IL 60147	Â	Â	Â Vice President and GM of DSG	Â

Signatures

Lisa Currie, attorney-in-fact for Robert Heise
02/02/2007

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 509 shares of stock fully vested on May 31, 2005. Does not include 4,101 shares allocated to the account of the reporting person
(1) under the Richardson Electronics, Ltd. Employees Stock Ownership Plan and Trust ("ESOP") to which shares beneficial ownership disclaimed.

(2) Option fully vested as of 9/14/2005.

(3) Option fully vested as of 9/21/2006.

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- (4) Option granted to reporting person, which is exercisable in 823 share increments on 9/10 of each succeeding year, beginning 9/10/2005.
- (5) Option granted to reporting person, which is exercisable in 472 share increments on 10/19 of each succeeding year, beginning 10/19/2006.
- (6) Option granted to reporting person, which is exercisable in 400 share increments on 10/17 of each succeeding year, beginning 10/17/2007.
- (7) Option fully vested as of 9/22/2003.
- (8) Option fully vested as of 10/27/2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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