Monserrat Alvaro Form 4 February 04, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5

1(b).

(Last)

obligations

may continue.

See Instruction

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Nuance Communications, Inc.

(Print or Type Responses)

1. Name and Address of Reporting Person \*\*
Monserrat Alvaro

oliserrat Arvaro

(First) (Middle)

ONE WAYSIDE ROAD

(Street)

[NUAN]

Symbol

3. Date of Earliest Transaction (Month/Day/Year)

01/31/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_\_ Other (specify

below) below)

Executive Vice President & Gen

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

## BURLINGTON, MA 01803

(City)	(State)	(Zıp) Tabl	le I - Non-I	<b>Derivative</b>	Securi	ities Acqu	iired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Acquired on (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A)  or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/31/2019		Code V	Amount 8,754 (1)	(D)	Price \$ 15.87	188,989	D	
Common Stock	01/31/2019		F	7,265 (1)	D	\$ 15.87	181,724	D	
Common Stock	01/31/2019		A	33,500 (2)	A	\$ 0.001	215,224	D	
Common Stock	01/31/2019		F	7,193 (1)	D	\$ 15.87	208,031	D	
Common Stock	01/31/2019		F	8,133 (1)	D	\$ 15.87	199,898	D	

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Common Stock	02/01/2019	A	69,502 (3)	A	\$ 0.001	269,400	D
Common Stock	02/01/2019	F	27,347 <sub>(1)</sub>	D	\$ 16.06	242,053	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amor Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
Monserrat Alvaro			Executive				
ONE WAYSIDE ROAD			Vice President				
BURLINGTON, MA 01803			& Gen				

## **Signatures**

By: /s/ Donna Belanger For: Alvaro Jorge
Monserrat

02/04/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were withheld by the Company to cover the tax liability due upon the vesting of the restricted stock award.

**(2)** 

Reporting Owners 2

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These shares are pursuant to a performance-based restricted stock unit agreement with the company whereby targets defined by the Compensation Committee were deemed to be achieved for fiscal year 2019.

(3) These shares are pursuant to a performance-based restricted stock unit agreement with the company whereby the target defined by the Compensation Committee was deemed to be achieved.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.