Widjaja Jason Form 3 February 01, 2019

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Widjaja Jason (Month/Day/Year)

01/11/2019

Ascent Solar Technologies, Inc. [ASTI]

(Last) (First) (Middle) 4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

7, CHWEE CHIAN RD

(Street)

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line)

Director Officer

_X__ 10% Owner Other (give title below) (specify below)

X Form filed by One Reporting Person Form filed by More than One

Reporting Person

SINGAPOREÂ 119749

1. Title of Security

(Instr. 4)

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned

(Instr. 4)

4. Nature of Indirect Beneficial Ownership Ownership (Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Amount or Title Number of Shares

Derivative Security Direct (D) or Indirect (I) (Instr. 5)

1

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Convertible Promissory Note

01/11/2019 Â (1)

Common Stock

\$ (1) $0^{(1)}$

D

Â

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Widjaja Jason

7, CHWEE CHIAN RD SINGAPOREÂ 119749

ÂXÂ

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Signatures

/s/ Jason Widjaja

01/23/2019

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**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person purchased a \$330,000 principal amount convertible note from the issuer. The note may be converted at the holder's option into shares of the issuer's common stock at a variable conversion price based upon a discount to the Company's per share market price at the time of conversion. The note matures on 1/11/2020. The note may not be converted if the number of common shares to be

received by the reporting person would result in the reporting person beneficially owning more than 19.99% of all common shares then outstanding. Accordingly, the reporting person may be deemed to have beneficial ownership of up to 19.99% of the issuer's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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