

SONO TEK CORP  
Form 4  
July 25, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Bagley Stephen James

(Last) (First) (Middle)

SONO-TEK CORPORATION, 2012  
ROUTE 9W

(Street)

MILTON, NY 12547

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
SONO TEK CORP [SOTK]

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/21/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)  
CFO

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Code V Amount (D) Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)
---------------------------------	---------------------------	--------------------------------------	-----------------------------------	---------------------	------------------------------------	--	--

Edgar Filing: SONO TEK CORP - Form 4

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Sono-Tek Corp Options	\$ 0.91	07/21/2016	A	30,000 <sub>(1)</sub>					03/15/2017 <sup>(1)</sup>	07/20/2026	Sono-Tek Corp Common Stock	30,000
Sono-Tek Corp Options	\$ 0.91	07/21/2016	A	30,000 <sub>(2)</sub>					03/15/2018 <sup>(2)</sup>	07/20/2026	Sono-Tek Corp Common Stock	30,000
Sono-Tek Corp Options	\$ 0.91	07/21/2016	A	40,000 <sub>(3)</sub>					03/15/2019 <sup>(3)</sup>	07/20/2026	Sono-Tek Corp Common Stock	40,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bagley Stephen James SONO-TEK CORPORATION 2012 ROUTE 9W MILTON, NY 12547			CFO	

## Signatures

Stephen J. Bagley  
 07/25/2016  
 \*\*Signature of Reporting Person      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) On July 21, 2016, the reporting person was granted an option to purchase 30,000 shares of common stock. If the reporting person is employed by the issuer on 02/28/2017, the option becomes exercisable in three annual installments on March 15, 2017, March 15, 2018 and March 15, 2019.
  - (2) On July 21, 2016, the reporting person was granted an option to purchase 30,000 shares of common stock. If the reporting person is employed by the issuer on 02/28/2018, the option becomes exercisable in three annual installments on March 15, 2018, March 15, 2019 and March 15, 2020.
  - (3) On July 21, 2016, the reporting person was granted an option to purchase 40,000 shares of common stock. If the reporting person is employed by the issuer on 02/28/2019, the option becomes exercisable in three annual installments on March 15, 2019, March 15, 2020

## Edgar Filing: SONO TEK CORP - Form 4

and March 15, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.