#### KVH INDUSTRIES INC \DE\

Form 4

November 30, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

Form filed by More than One Reporting

January 31, 2005

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obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Balog Robert J Issuer Symbol KVH INDUSTRIES INC \DE\ (Check all applicable) [KVHI] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) KVH INDUSTRIES, INC., 50 11/28/2016 Senior VP Engineering **ENTERPRISE CENTER** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

#### MIDDLETOWN, RI 02842

(State)

(Zip)

(City)

Table I - Non-Derivative Securities Acquired Disposed of an Ranaficially Ox

(- 3)	()	1 able	e 1 - Non-D	erivative i	Secur.	ities Acqu	nrea, Disposea of	, or Beneficiali	y Ownea
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Date, if Transaction(A) or Disp Code (Instr. 3, 4 a			d of (D) Securities		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	11/28/2016		<u>J(1)</u>	3,293	A	\$ 9.32	81,947	D	
Common Stock	11/28/2016		S	3,293	D	\$ 10.45	78,654	D	
Common Stock	11/29/2016		<u>J(1)</u>	9,832	A	\$ 9.32	88,486	D	
Common Stock	11/29/2016		S	9,832	D	\$ 10.45	78,654	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of Sh
Employee Stock Options-Right to Buy	\$ 9.32	11/28/2016		J <u>(2)</u>	3,293	02/28/2013(3)	02/28/2017	Common Stock	3
Employee Stock Options-Right to Buy	\$ 9.32	11/29/2016		J(2)	9,832	02/28/2013(3)	02/28/2017	Common Stock	9

# **Reporting Owners**

Director 10% Owner Officer Other

Balog Robert J

KVH INDUSTRIES, INC. 50 ENTERPRISE CENTER MIDDLETOWN, RI 02842

Senior VP Engineering

## **Signatures**

Robert J. Balog 11/30/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** 

Reporting Owners 2

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Shares acquired via the exercise of an expiring stock option pursuant to the terms & conditions of the company's 2006 Stock Incentive Plan

- (2) Exercise of an expiring stock option pursuant to the terms & conditions of the company's 2006 Stock Incentive Plan.
- (3) Date option fully vested.
- (4) Represents total vested/unexercised options "beneficially owned".

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.