Hier-King Jan Form 3 January 05, 2005

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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# INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SCHWAB CHARLES CORP [SCH] Hier-King Jan (Month/Day/Year) 01/01/2005 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O THE CHARLES SCHWAB (Check all applicable) CORPORATION, Â 120 **KEARNY STREET** 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) **EVP - Human Resources** \_X\_ Form filed by One Reporting Person SAN Form filed by More than One FRANCISCO, Â CAÂ 94108 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities 3. Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 19,553.35 D Common Stock 6,755.17 Ι **ESOP** Common Stock 72,138 I by Trust Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exerci Expiration Da (Month/Day/Year)			derlying	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Incentive Stock Option (right to buy)	12/07/1996	12/07/2005	Common Stock	27,000	\$ 3.3519	D	Â
Incentive Stock Option (right to buy)	02/26/1998	02/26/2007	Common Stock	25,314	\$ 5.7963	D	Â
Incentive Stock Option (right to buy)	02/23/1999	02/23/2008	Common Stock	18,000	\$ 8.7222	D	Â
Incentive Stock Option (right to buy)	10/23/2006	10/23/2012	Common Stock	1,250	\$ 9.32	D	Â
Incentive Stock Option (right to buy)	02/27/2006	02/27/2012	Common Stock	2,500	\$ 13.11	D	Â
Incentive Stock Option (right to buy)	07/18/2005	07/18/2011	Common Stock	1,787	\$ 15.02	D	Â
Incentive Stock Option (right to buy)	02/28/2005	02/28/2011	Common Stock	3,500	\$ 20.9	D	Â
Incentive Stock Option (right to buy)	02/25/2000	02/25/2009	Common Stock	8,955	\$ 23.3125	D	Â
Incentive Stock Option (right to buy)	02/23/2001	02/23/2010	Common Stock	3,791	\$ 26.375	D	Â
Non-Qualified Stock Option (right to buy)	12/07/1996	12/07/2005	Common Stock	27,000	\$ 3.3519	D	Â
Non-Qualified Stock Option (right to buy)	08/01/1998	02/26/2007	Common Stock	25,314	\$ 6.8796	D	Â
Non-Qualified Stock Option (right to buy)	02/23/1999	02/23/2008	Common Stock	18,000	\$ 8.7222	D	Â
Non-Qualified Stock Option (right to buy)	12/15/2004	09/30/2011	Common Stock	91,241	\$ 9.19	D	Â
Non-Qualified Stock Option (right to buy)	10/23/2003	10/23/2012	Common Stock	3,750	\$ 9.32	D	Â
Non-Qualified Stock Option (right to buy)	11/08/2004	11/08/2012	Common Stock	18,000	\$ 9.71	D	Â
Non-Qualified Stock Option (right to buy)	09/24/2002	09/24/2011	Common Stock	11,600	\$ 10.2	D	Â
Non-Qualified Stock Option (right to buy)	02/27/2003	02/27/2012	Common Stock	7,500	\$ 13.11	D	Â

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Non-Qualified Stock Option (right to buy)	07/18/2002	07/18/2011	Common Stock	56,213	\$ 15.02	D	Â
Non-Qualified Stock Option (right to buy)	05/04/2001	05/04/2011	Common Stock	3,000	\$ 20.68	D	Â
Non-Qualified Stock Option (right to buy)	02/28/2002	02/28/2011	Common Stock	16,500	\$ 20.9	D	Â
Non-Qualified Stock Option (right to buy)	02/25/2000	02/25/2009	Common Stock	15,045	\$ 23.3125	D	Â
Non-Qualified Stock Option (right to buy)	11/01/2000	11/01/2009	Common Stock	7,500	\$ 25.9167	D	Â
Non-Qualified Stock Option (right to buy)	02/23/2001	02/23/2010	Common Stock	12,710	\$ 26.375	D	Â
Non-Qualified Stock Option (right to buy)	12/15/2003	12/15/2010	Common Stock	40,000	\$ 28.75	D	Â
Non-Qualified Stock Option (right to buy)	10/25/2001	10/25/2010	Common Stock	5,000	\$ 31.0625	D	Â
Non-Qualified Stock Option (right to buy)	04/20/2000	04/20/2009	Common Stock	3,000	\$ 35.5833	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1000	Director	10% Owner	Officer	Other	
Hier-King Jan C/O THE CHARLES SCHWAB CORPORATION 120 KEARNY STREET SAN FRANCISCO, CA 94108	Â	Â	EVP - Human Resources	Â	

### **Signatures**

Jan Hier-King	01/04/2005			
**Signature of	Date			

## **Explanation of Responses:**

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 5(b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).