### RGC RESOURCES INC Form 4/A April 10, 2017

## FORM 4

#### **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box

**OMB** 3235-0287 Number:

if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average burden hours per

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

January 31,

1(b).

(Print or Type Responses)

1 Name and Address of Departing De

1. Name and A SMOOT RA	Symbol	2. Issuer Name and Ticker or Trading Symbol RGC RESOURCES INC [RGCO]				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (N		3. Date of Earliest Transaction				(Check all applicable)			
(Last)	(First) (N	, 5.24.0	or Earnest 113 Day/Year)	ansaction			X Director	10%	Owner	
1447 LUST	_ `	04/03/2017				Officer (give below)	titleOthe	er (specify		
	4. If Am	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
BLACKSBI	URG, VA 24060	Filed(Mo 04/05/2	nth/Day/Year) 2017	)				One Reporting Per Iore than One Re		
							Person			
(City)	(State)	(Zip) Tab	le I - Non-D	erivative Se	curitie	es Acqu	iired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	Security (Month/Day/Year) Execution		Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)				5. Amount of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownersh Following (Instr. 4) (Instr. 4)			
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	04/03/2017		P(1)	106.045	A	\$ 22	34,664.264 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: RGC RESOURCES INC - Form 4/A

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable	e and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	cution Date, if Transaction		Number Expiration Date		Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	)	Underlying	Security	Secui
(Instr. 3) Price of			(Month/Day/Year)	(Instr. 8)	Derivative			Securities	(Instr. 5)	Bene
	Derivative	Securities				(Instr. 3 and 4)		Owne		
	Security				Acquired					Follo
					(A) or					Repo
					Disposed					Trans
					of (D)					(Instr
					(Instr. 3,					
					4, and 5)	5)				
				Code V	(A) (D)	Date Expi	iration '	Title Amount		
					(11)	Exercisable Date		or		
						Zaterensuere Butt		Number		
								of		
								Shares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

SMOOT RAYMOND D JR X 1447 LUSTERS GATE ROAD BLACKSBURG, VA 24060

## **Signatures**

Raymond D. Smoot, Jr. by Howard T. Lyon, POA dated 01/24/2005

04/10/2017

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Purchase made with optional cash contribution pursuant to the RGC Resources, Inc. Dividend Reinvestment and Stock Purchase Plan. **(1)** Amended to adjust transaction price and share count.
- (2) 34,289.264 shares owned directly by reporting person 375 shares owned indirectly by spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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