Edgar Filing: PEAPACK GLADSTONE FINANCIAL CORP - Form 4

PEAPACK GLADSTONE FINANCIAL CORP

Form 4

December 08, 2015

FORM	1 /										APPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287			
Check th	ger									Expires:	January 31,	
if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section				SEC	UR	RITIES					ated average n hours per	
obligation may con <i>See</i> Instraction 1(b).	ons tinue. Section 17(a) of the l		tility F	Hole	ding Co	npan	ny Act of	f 1935 or Section	on		
(Print or Type	Responses)											
1. Name and Address of Reporting Person * Spero Vincent A			2. Issuer Name and Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer			
		PEAPACK GLADSTONE FINANCIAL CORP [PGC]						(Check all applicable)				
(1)				3. Date of Earliest Transaction (Month/Day/Year) 12/04/2015					Director 10% OwnerX Officer (give title Other (specify below) EVP & Chief Lending Officer			
BOX 700												
Filed(Mo				Amendment, Date Original Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
BEDMINS	TER, NJ 07921								Person			
(City)	(State)	(Zip)	Tab	le I - No	n-I	Derivative	Secu	rities Acc	quired, Disposed o	of, or Beneficia	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price					5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4)	
Common Stock	12/04/2015			F		265	D	\$ 22.72	49,868.396	D		
Common Stock									14,422.725 (3)	I	401K Plan/Profit Sharing	
Common Stock									1,452.9246	I	Employee Stock Purchase Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			• 0		8. Price Derivat Security (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 27.01					<u>(2)</u>	06/02/2018	Common Stock	1,050	
Stock Option (right to buy)	\$ 11.91					<u>(1)</u>	11/19/2019	Common Stock	3,000	

Reporting Owners

Reporting Owner Name / Address	Keiationships					
	Director	10% Owner	Officer	Other		

Spero Vincent A 500 HILLS DRIVE, SUITE 300 PO BOX 700 BEDMINSTER, NJ 07921

EVP & Chief Lending Officer

Signatures

Vincent A. Spero 12/08/2015

**Signature of Date
Reporting Person

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options are exercisable in five equal annual installments on November 19, 2010, 2011, 2012, 2013 and 2014.
- (2) The options are exercisable in five equal annual installments on June 2, 2009, 2010, 2011, 2012 and 2013.
- (3) Includes 401K contributions used to purchase PGC stock each payroll period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.