Edgar Filing: PEAPACK GLADSTONE FINANCIAL CORP - Form 4

PEAPACK GLADSTONE FINANCIAL CORP

Form 4

Stock

Stock

Common

February 25, 2015

| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer subject to Section 17(a) of the Public Utility Holding Company Act of 1934, of the Investment Company Act of 1940 OMB APPROVAL OMB Number: 3235-0287 Sample Section 16. Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | | | | | | | | | | 1, 5 | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|------------------------------------------|----------|-------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|--------------------|---------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|--|--|
| KISSEL FRANK A Symbol PEAP | | | | Issuer Name and Ticker or Trading abol APACK GLADSTONE NANCIAL CORP [PGC] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Mont | | | | . Date of Earliest Transaction Month/Day/Year) /2/10/2015 | | | | | X Director 10% Owner Officer (give title below) Other (specify below) | | | | |
| (Street) 4. If Amendment, Date Ori Filed(Month/Day/Year) BEDMINSTER, NJ 07921-1538 | | | | _ | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | | | |
| (City) | (State) | (Zip) | Tabl | le I - No | on-D | erivative | Secur | ities A | equired, Disposed o | of, or Benefici | ally Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) 02/10/2015 | 2A. Deen Execution any (Month/D | Date, if | Code (Instr. | 8) V | 4. Securi nAcquired Disposed (Instr. 3, Amount 528 | l (A) c l of (D |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common | | | | | | | | | 10,918.5178 | Ī | 401K Plan/Profit | | |

(3)

3,815

Ι

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Sharing Plan

Spouse

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 3 | ate Underly | | Amount of Securities 4) | 8. Price Derivat Securit (Instr. 5 |
|-----------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------|----------------------------------------|------------------------------------------------------------------------------------------|---------------------|--------------------|-----------------|----------------------------------------|---------------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock options (right to buy) | \$ 26.76 | | | | | <u>(1)</u> | 01/03/2017 | Common Stock | 5,250 | |
| Stock options (right to buy) | \$ 23.4 | | | | | (2) | 01/02/2018 | Common Stock | 5,250 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|-----------------------------------------------------------------------------------------|---------------|-----------|---------|-------|--|--|
| reporting of their remarks | Director | 10% Owner | Officer | Other | | |
| KISSEL FRANK A 500 HILLS DRIVE, SUITE 300 PO BOX 700 BEDMINSTER, NJ 07921-1538 | X | | | | | |
| Signatures | | | | | | |

Signatures

Frank A. Kissel 02/25/2015

**Signature of Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options are exercisable in five equal annual installments on January 3, 2008, 2009, 2010, 2011 and 2012.
- (2) The options are exercisable in five equal annual installments on January 2, 2009, 2010, 2011, 2012 and 2013.
- (3) Includes profit-sharing contributions and earnings since last filing.
- (4) A gift involves no payment of consideration; therefore, no price is necessary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.