

GENENTECH INC  
Form 4  
November 04, 2005

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**CLARK IAN T**

(Last) (First) (Middle)

1 DNA WAY

(Street)

SO SAN FRANCISCO, CA 94080

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**GENENTECH INC [DNA]**

3. Date of Earliest Transaction (Month/Day/Year)  
**11/02/2005**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
**SVP-COMMERCIAL OPERATIONS**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	11/02/2005		M	800 A \$ 18.15	2,257	D	
Common Stock	11/02/2005		S	800 D \$ 91.92	1,457	D	
Common Stock	11/02/2005		M	1,200 A \$ 18.15	2,657	D	
Common Stock	11/02/2005		S	1,200 D \$ 91.93	1,457	D	
Common Stock	11/02/2005		M	3,167 A \$ 18.15	4,624	D	

Edgar Filing: GENENTECH INC - Form 4

Common Stock	11/02/2005	S	3,167	D	\$ 91.98	1,457	D
Common Stock	11/02/2005	M	833	A	\$ 42.05	2,290	D
Common Stock	11/02/2005	S	833	D	\$ 91.98	1,457	D
Common Stock	11/02/2005	M	817	A	\$ 42.05	2,274	D
Common Stock	11/02/2005	S	817	D	\$ 91.99	1,457	D
Common Stock	11/02/2005	M	600	A	\$ 42.05	2,057	D
Common Stock	11/02/2005	S	600	D	\$ 92	1,457	D
Common Stock	11/02/2005	M	500	A	\$ 42.05	1,957	D
Common Stock	11/02/2005	S	500	D	\$ 92.01	1,457	D
Common Stock	11/02/2005	M	1,000	A	\$ 42.05	2,457	D
Common Stock	11/02/2005	S	1,000	D	\$ 92.09	1,457	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
	\$ 18.15	11/02/2005		M	800	01/13/2003 <sup>(1)</sup> 01/13/2013	

Non-Qualified Stock Option (right to buy)								Common Stock
Non-Qualified Stock Option (right to buy)	\$ 18.15	11/02/2005	M	1,200	01/13/2003 <sup>(1)</sup>	01/13/2013		Common Stock
Non-Qualified Stock Option (right to buy)	\$ 18.15	11/02/2005	M	3,167	01/13/2003 <sup>(1)</sup>	01/13/2013		Common Stock
Non-Qualified Stock Option (right to buy)	\$ 42.05	11/02/2005	M	833	09/11/2003 <sup>(1)</sup>	09/11/2013		Common Stock
Non-Qualified Stock Option (right to buy)	\$ 42.05	11/02/2005	M	817	09/11/2003 <sup>(1)</sup>	09/11/2013		Common Stock
Non-Qualified Stock Option (right to buy)	\$ 42.05	11/02/2005	M	600	09/11/2003 <sup>(1)</sup>	09/11/2013		Common Stock
Non-Qualified Stock Option (right to buy)	\$ 42.05	11/02/2005	M	500	09/11/2003 <sup>(1)</sup>	09/11/2013		Common Stock
Non-Qualified Stock Option (right to buy)	\$ 42.05	11/02/2005	M	1,000	09/11/2003 <sup>(1)</sup>	09/11/2013		Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CLARK IAN T 1 DNA WAY SO SAN FRANCISCO, CA 94080			SVP-COMMERCIAL OPERATIONS	

## Signatures

Ian T. Clark 11/03/2005

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This stock option vests over four years, with the first 25% of the shares vesting one year from the grant date and 75% of the shares (1) vesting in equal monthly increments over the following three years. This option may be immediately exercisable with the consent of Genentech.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

## Edgar Filing: GENENTECH INC - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.