

QUALCOMM INC/DE

Form 4

July 28, 2016

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**GROB MATTHEW S**

(Last) (First) (Middle)

**5775 MOREHOUSE DR.**

(Street)

**SAN DIEGO, CA 92121-1714**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**QUALCOMM INC/DE [QCOM]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**07/26/2016**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

EVP, Qualcomm Technologies Inc

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 07/26/2016                              |                                                             | M                                    | 2,000 A                                                                 | \$ 44.63 35,627                                                                                                    | I                                                                       | by Trust<br>(1)                                                   |
| Common<br>Stock                       | 07/26/2016                              |                                                             | S(2)                                 | 2,000 D                                                                 | \$ 61.25 33,627                                                                                                    | I                                                                       | by Trust<br>(1)                                                   |
| Common<br>Stock                       | 07/26/2016                              |                                                             | M                                    | 13,000 A                                                                | \$ 43.24 46,627                                                                                                    | I                                                                       | by Trust<br>(1)                                                   |
| Common<br>Stock                       | 07/26/2016                              |                                                             | S(2)                                 | 13,000 D                                                                | \$ 61.25 33,627                                                                                                    | I                                                                       | by Trust<br>(1)                                                   |
| Common<br>Stock                       | 07/27/2016                              |                                                             | M                                    | 15,000 A                                                                | \$ 43.24 48,627                                                                                                    | I                                                                       | by Trust<br>(1)                                                   |

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|              |            |                  |        |   |           |        |   |                         |
|--------------|------------|------------------|--------|---|-----------|--------|---|-------------------------|
| Common Stock | 07/27/2016 | S <sup>(2)</sup> | 500    | D | \$ 62.255 | 48,127 | I | by Trust <sup>(1)</sup> |
| Common Stock | 07/27/2016 | S <sup>(2)</sup> | 14,500 | D | \$ 62.25  | 33,627 | I | by Trust <sup>(1)</sup> |
| Common Stock |            |                  |        |   |           | 15,000 | I | By GRAT                 |
| Common Stock |            |                  |        |   |           | 15,000 | I | by GRAT <sup>(3)</sup>  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |     | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |                 |              |                            |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-----|-------------------------------------------------------------|-----------------|--------------|----------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V                                                                                       | (A)                                                      | (D) | Date Exercisable                                            | Expiration Date | Title        | Amount or Number of Shares |
| Non-Qualified Stock Option (right to buy)  | \$ 43.24                                               | 07/26/2016                           |                                                    | M                              |                                                                                         | 13,000                                                   |     | <u>(4)</u>                                                  | 04/24/2018      | Common Stock | 13,000                     |
| Non-Qualified Stock Option (right to buy)  | \$ 43.24                                               | 07/27/2016                           |                                                    | M                              |                                                                                         | 15,000                                                   |     | <u>(4)</u>                                                  | 04/24/2018      | Common Stock | 15,000                     |
| Non-Qualified Stock Option (right to buy)  | \$ 44.63                                               | 07/26/2016                           |                                                    | M                              |                                                                                         | 2,000                                                    |     | <u>(4)</u>                                                  | 04/26/2017      | Common Stock | 2,000                      |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |                                |       |
|------------------------------------------------------------------|---------------|-----------|--------------------------------|-------|
|                                                                  | Director      | 10% Owner | Officer                        | Other |
| GROB MATTHEW S<br>5775 MOREHOUSE DR.<br>SAN DIEGO, CA 92121-1714 |               |           | EVP, Qualcomm Technologies Inc |       |

## Signatures

By: Noreen E. Burns, Attorney-in-Fact For: Matthew S. Grob

07/28/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Securities held by Matthew Grob and Dawn Grob Trustees for the Matthew and Dawn Grob Trust dtd. 8/26/1999.
- (2) The transaction was conducted under a Rule 10b5-1 trading plan.
- (3) Securities held by Dawn Grob, Trustee of the Dawn Grob 2015 Irrevocable Trust u/a dtd 3/17/15.
- (4) The options vest 10% on the six month anniversary of the date of grant and the remaining balance vests monthly thereafter, adjusted for any previously exercised options prior to becoming an affiliate. The option is fully vested five years after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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