## Edgar Filing: REPLIDYNE INC - Form 3

REPLIDYNE INC

Form 3 June 27, 2006

# FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

 **LAWLOR AUGUSTINE** 

PARKWAY, Â SUITE 301

(Last) (First)

55 CAMBRIDGE

1. Title of Security

(Instr. 4)

(Middle)

Statement (Month/Day/Year)

06/27/2006

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

REPLIDYNE INC [RDYN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

\_X\_ Director \_X\_ 10% Owner Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

(Street)

CAMBRIDGE, MAÂ 02142

(City) (State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Â 0 Common Stock D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative

Security

Ownership Form of Derivative Security:

Direct (D)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Title

1

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Series A Convertible Preferred (1)	(1)	(1)	Common Stock	8,250,300 (1)	\$ 0	I (4)	By Partnership
Series C Convertible Preferred (2)	(2)	(2)	Common Stock	7,280,001 (2)	\$ 0	I (4)	By Partnership
Series D Convertible Preferred (5)	(3)	(3)	Common Stock	3,447,188 (3)	\$ 0	I (5)	By Partnership

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Othe		
LAWLOR AUGUSTINE 55 CAMBRIDGE PARKWAY SUITE 301 CAMBRIDGE, MA 02142	ÂX	ÂX	Â	Â		

# **Signatures**

/s/Jeffrey Steinberg, Attorney-in-Fact for Augustine Lawlor

06/27/2006

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares will automatically convert into 1,682,361 shares of Common Stock of the Issuer on the date of the closing of the initial public offering of the Issuer's Common Stock.
- (2) These shares will automatically convert into 1,484,502 shares of Common Stock of the Issuer on the date of the closing of the initial public offering of the Issuer's Common Stock.
- (3) These shares will automatically convert into 702,933 shares of Common Stock of the Issuer on the date of the closing of the initial public offering of the Issuer's Common Stock.
  - These securities are owned by HealthCare Ventures VI, L.P. ("HCVVI"). Mr. Lawlor is a general partner of HealthCare Ventures VI, L.P., the General Partner of HCVVI and may be deemed to indirectly beneficially own those securities owned by HCVVI. Mr. Lawlor
- (4) disclaims beneficial ownership of those securities in which he does not have a pecuniary interest and this report shall not be deemed an admission that he is the beneficial owner of these securities for purposes of Section 16, except to the extent of his pecuniary interest therein.
  - These securities are owned by HealthCare Ventures VIII, L.P. ("HCVVIII"). Mr. Lawlor is a managing director of HealthCare Partners VIII LLC, which is the general partner of HealthCare Partners VIII, L.P., which is the general partner of HCVVIII and may be deemed
- (5) to indirectly beneficially own those securities owned by HVVVIII. Mr. Lawlor disclaims beneficial ownership of those securities in which he does not have a pecuniary interest and this report shall not be deemed an admission that he is the beneficial owner of these securities for purposes of Section 16, except to the extent of his pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2