



Edgar Filing: Anthera Pharmaceuticals Inc - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock option (right to buy)	10/24/2017	10/24/2017	Common Stock	4,746	\$ 2.08	D	Â
Stock option (right to buy)	02/18/2009	02/18/2019	Common Stock	3,651	\$ 12.08	D	Â
Stock option (right to buy)	Â <u>(1)</u>	05/17/2023	Common Stock	25,000	\$ 4.88	D	Â
Stock option (right to buy)	Â <u>(2)</u>	01/01/2025	Common Stock	20,000	\$ 1.58	D	Â
Stock option (right to buy)	Â <u>(3)</u>	05/21/2025	Common Stock	70,000	\$ 4.75	D	Â
Stock option (right to buy)	Â <u>(4)</u>	01/15/2026	Common Stock	20,000	\$ 3.44	D	Â
Stock option (right to buy)	Â <u>(5)</u>	03/04/2026	Common Stock	45,000	\$ 3.75	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pennington James 25801 INDUSTRIAL BLVD., SUITE B HAYWARD, CA 94545	Â	Â	Â Interim Chief Medical Officer	Â

## Signatures

/s/ James  
Pennington

04/05/2016

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock option vests annually over four years beginning March 25, 2013 ("the Vesting Start Date"). The Stock Option is completely vested on the fourth anniversary of the vesting start date.
  - (2) This stock option vests annually over four years beginning January 1, 2015 ("the Vesting Start Date"). The Stock Option is completely vested on the fourth anniversary of the vesting start date.
  - (3) This stock option vests annually over four years beginning January 1, 2015 ("the Vesting Start Date"). The Stock Option is completely vested on the fourth anniversary of the vesting start date.
  - (4) This stock option vests annually over four years beginning January 1, 2016 ("the Vesting Start Date"). The Stock Option is completely vested on the fourth anniversary of the vesting start date.
  - (5) This stock option vests monthly over six months beginning April 1, 2016 ("the Vesting Start Date"). The Stock Option is completely vested on September 30, 2016

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.