Anthera Pharmaceuticals Inc Form 3 April 05, 2016

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Anthera Pharmaceuticals Inc [ANTH] **Â** Pennington James (Month/Day/Year) 04/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 25801 INDUSTRIAL BLVD., (Check all applicable) **SUITE B** (Street) 6. Individual or Joint/Group 10% Owner Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting Interim Chief Medical Officer Person HAYWARD, CAÂ 94545 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 17,688 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Security	Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock option (right to buy)	10/24/2017	10/24/2017	Common Stock	4,746	\$ 2.08	D	Â
Stock option (right to buy)	02/18/2009	02/18/2019	Common Stock	3,651	\$ 12.08	D	Â
Stock option (right to buy)	(1)	05/17/2023	Common Stock	25,000	\$ 4.88	D	Â
Stock option (right to buy)	(2)	01/01/2025	Common Stock	20,000	\$ 1.58	D	Â
Stock option (right to buy)	(3)	05/21/2025	Common Stock	70,000	\$ 4.75	D	Â
Stock option (right to buy)	(4)	01/15/2026	Common Stock	20,000	\$ 3.44	D	Â
Stock option (right to buy)	(5)	03/04/2026	Common Stock	45,000	\$ 3.75	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
Reporting Owner Funde, Frances	Director	10% Owner	Officer	Other	
Pennington James 25801 INDUSTRIAL BLVD., SUITE B HAYWARD, CA 94545	Â	Â	Interim Chief Medical Officer	Â	

Signatures

/s/ James
Pennington

**Signature of Reporting Person

O4/05/2016

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock option vests annually over four years beginning March 25, 2013 ("the Vesting Start Date"). The Stock Option is completely vested on the fourth anniversary of the vesting start date.
- (2) This stock option vests annually over four years beginning January 1, 2015 ("the Vesting Start Date"). The Stock Option is completely vested on the fourth anniversary of the vesting start date.
- (3) This stock option vests annually over four years beginning January 1, 2015 ("the Vesting Start Date"). The Stock Option is completely vested on the fourth anniversary of the vesting start date.
- (4) This stock option vests annually over four years beginning January 1, 2016 ("the Vesting Start Date"). The Stock Option is completely vested on the fourth anniversary of the vesting start date.
- (5) This stock option vests monthly over six months beginning April 1, 2016 ("the Vesting Start Date"). The Stock Option is completely vested on September 30, 2016

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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