Edgar Filing: Plafker Alan - Form 4

Plafker Alan Form 4 March 18, 201 FORM Check this I if no longer subject to Section 16. Form 4 or Form 5 obligations may continu <i>See</i> Instruct 1(b).	4 UNITE	EMENT Of pursuant to S 7(a) of the	Was F CHAN Section 14 Public Ut	Shington, GES IN I SECUR 6(a) of the	D.C. 205 BENEFI ITIES Securiti ing Com	5 49 CIAI es Ex pany	COW Change Act of	COMMISSION NERSHIP OF e Act of 1934, E 1935 or Section 0	OMB Number: Expires: Estimated a burden hou response	•	
(Print or Type Res	sponses)										
S			2. Issuer Name and Ticker or Trading Symbol SURGE COMPONENTS INC [SPRS]				g	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			3. Date of Earliest Transaction (Month/Day/Year) 03/18/2019					X_ Director 10% Owner Officer (give title Other (specify below) below)			
DEER PARK	(Street) 4. If Amer Filed(Mont				-			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
(City)	(State)	(Zip)	Tabl	o I - Non-D	orivativo S	ocuri	ies Aca	Person	f or Bonoficial	ly Owned	
1.Title of 2		insaction Date 2A. Deemed		e I - Non-Derivative Securities Acq 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership	•	
Common (Stock	03/18/2019			Code V M	Amount 25,000	or (D) A	Price \$ 0.87	(Instr. 3 and 4) 33,197	D		
Common Stock	03/18/2019			F <u>(1)</u>	14,309	D	\$ 1.52	18,888	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 0.87	03/18/2019		M <u>(1)</u>		25,000	07/09/2015	07/09/2020	Common Stock	25,000

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Plafker Alan C/O SURGE COMPONENTS, IN 95 EAST JEFRYN BOULEVARI DEER PARK, NY 11729	X							
Signatures								
/s/ Alan Plafker 03/18	8/2019							

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects the cashless exercise of a stock option granted pursuant to Rule 16b-3. The reporting person received 10,691 shares of the registrant's common stock upon such exercise.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.