

SPROWLS ROBERT J

Form 4

April 29, 2019

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
SPROWLS ROBERT J

2. Issuer Name **and** Ticker or Trading
Symbol

AMERICAN STATES WATER CO
[AWR]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

630 E FOOTHILL BLVD.

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/26/2019

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)

President & CEO

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

SAN DIMAS, CA 91773

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	03/26/2019		S	100 D	\$ 72.23 122,799.3852	D	
Common Shares	03/26/2019		S	100 D	\$ 72.05 122,699.3852	D	
Common Shares	03/26/2019		S	200 D	\$ 72.1 122,499.3852	D	
Common Shares	03/26/2019		S	100 D	\$ 72.11 122,399.3852	D	
Common Shares	03/26/2019		S	100 D	\$ 72.29 122,299.3852	D	
	03/26/2019		S	200 D	122,099.3852	D	

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Common Shares					\$ 72.06			
Common Shares	03/26/2019	S	200	D	\$ 72.13	121,899.3852	D	
Common Shares	03/26/2019	S	400	D	\$ 72.04	121,499.3852	D	
Common Shares	03/26/2019	S	100	D	\$ 72.16	121,399.3852	D	
Common Shares	03/26/2019	S	400	D	\$ 72.09	120,999.3852	D	
Common Shares	03/26/2019	S	400	D	\$ 72.01	120,599.3852	D	
Common Shares	03/26/2019	S	300	D	\$ 72.02	120,299.3852	D	
Common Shares	03/26/2019	S	100	D	\$ 72.03	120,199.3852	D	
Common Shares	03/26/2019	S	407	D	\$ 72.07	119,792.3852	D	
Common Shares	03/26/2019	S	200	D	\$ 72.01	119,592.3852	D	
Common Shares	03/26/2019	S	500	D	\$ 72	119,092.3852	D	
Common Shares	03/27/2019	S	31	D	\$ 72	119,061.3852	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 10)
				Code	V (A) (D)		Title		

Date Exercisable	Expiration Date	Amount or Number of Shares
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SPROWLS ROBERT J 630 E FOOTHILL BLVD. SAN DIMAS, CA 91773			President & CEO	

Signatures

/s/ Robert J.
Sprowls 04/29/2019

____Signature of
Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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