Beers Marlene C Form 3 March 07, 2019

## FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

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response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PPL Corp [PPL] À Beers Marlene C (Month/Day/Year) 03/01/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) TWO NORTH NINTH STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) ALLENTOWN, Â PAÂ 18101 Form filed by More than One Vice President and Controller Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 6,495

Has investment power over Common Stock I 1,500 shares owned by family member.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		(Instr. 4)	Price of	Derivative	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Unit (ICPKE)	(1)	(1)	Common Stock	803	\$ (2)	D	Â
Stock Unit (ICPKE)	(3)	(3)	Common Stock	2,231	\$ <u>(2)</u>	D	Â
Stock Unit (ICPKE)	(4)	(4)	Common Stock	881	\$ <u>(2)</u>	D	Â
Stock Unit (SIP)	(5)	(5)	Common Stock	1,162	\$ <u>(6)</u>	D	Â
Performance Unit (ICPKE)	(7)	(7)	Common Stock	2,663.017 (8)	\$ <u>(7)</u>	D	Â
Performance Unit (ICPKE)	(9)	(9)	Common Stock	888.41 (8)	\$ <u>(9)</u>	D	Â
Performance Unit (ICPKE)	(10)	(10)	Common Stock	1,865.318 (8)	\$ (10)	D	Â
Performance Unit (ICPKE)	(11)	(11)	Common Stock	1,865.318 (8)	\$ (11)	D	Â
Performance Unit (SIP)	(12)	(12)	Common Stock	2,323 (8)	\$ (12)	D	Â
Performance Unit (SIP)	(13)	(13)	Common Stock	2,323 (8)	\$ (13)	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
reporting 6 wher rune / runess	Director	10% Owner	Officer	Other	
Beers Marlene C TWO NORTH NINTH STREET ALLENTOWN, PA 18101	Â	Â	Vice President and Controller	Â	

# **Signatures**

/s/Frederick C. Paine, as Attorney-In-Fact for Marlene C.
Beers 03/07/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The units will vest on January 26, 2020.

**(2)** 

Reporting Owners 2

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No conversion or exercise price applies. Under the terms of the Incentive Compensation Plan for Key Employees (ICPKE), a restricted stock unit converts to a share of common stock on the applicable vesting date.

- (3) The units will vest on February 17, 2020.
- (4) The units will vest on January 25, 2021.
- (5) The units will vest on January 24, 2022.
- No conversion or exercise price applies. Under the terms of the Stock Incentive Plan (SIP), a restricted stock unit converts to a share of common stock on the applicable vesting date.
- No conversion or exercise price or exercise or expiration date applies. Under the terms of the Incentive Compensation Plan for Key

  Employees (ICPKE), all, some or none of the underlying securities will be earned depending on the Company's performance relative to an industry peer group over a three-year performance period ending 12/31/2019. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2020.
- (8) Total includes the reinvestment of dividends.
- (9) No conversion or exercise price or exercise or expiration date applies. Under the terms of the Incentive Compensation Plan for Key Employees (ICPKE), all, some or none of the underlying securities will be earned depending on the Company's return on equity over a three-year performance period ending 12/31/2019. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2020.
- No conversion or exercise price or exercise or expiration date applies. Under the terms of the Incentive Compensation Plan for Key

  Employees (ICPKE), all, some or none of the underlying securities will be earned depending on the Company's performance relative to an industry peer group over a three-year performance period ending 12/31/2020. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2021.
- No conversion or exercise price or exercise or expiration date applies. Under the terms of the Incentive Compensation Plan for Key

  Employees (ICPKE), all, some or none of the underlying securities will be earned depending on the Company's return on equity over a three-year performance period ending 12/31/2020. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2021.
- No conversion or exercise price or exercise or expiration date applies. Under the terms of the Stock Incentive Plan (SIP), all, some or none of the underlying securities will be earned depending on the Company's performance relative to an industry peer group over a three-year performance period ending 12/31/2021. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2022.
- No conversion or exercise price or exercise or expiration date applies. Under the terms of the Stock Incentive Plan (SIP), all, some or none of the underlying securities will be earned depending on the Company's return on equity over a three-year performance period ending 12/31/2021. Determination of number of underlying securities that have been earned, if any, will be made by the Compensation, Governance and Nominating Committee in January 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.