

Taylor Philip
Form 4
March 04, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Taylor Philip

(Last) (First) (Middle)

1555 PEACHTREE STREET
NE, SUITE 1800

(Street)

ATLANTA, GA 30309

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Invesco Ltd. [IVZ]

3. Date of Earliest Transaction
(Month/Day/Year)

02/28/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

Senior Managing Director

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount or Price | | |
| Common Shares | 02/28/2019 | | M | | 18,186 A \$ 0 | 255,571 | D |
| Common Shares | 02/28/2019 | | A | | 11,050 A \$ 0 | 266,621 | D |
| Common Shares | 02/28/2019 | | M | | 19,385 A \$ 0 | 286,006 | D |
| Common Shares | 02/28/2019 | | M | | 16,645 A \$ 0 | 302,651 | D |
| Common Shares | 02/28/2019 | | F | | 32,927 D \$ 19.35 | 269,724 | D |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code V (A) (D) | | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Restricted Stock Units ⁽¹⁾ | \$ 0 | 02/28/2019 | | M | 18,186 | <u>(2)</u> <u>(2)</u> | Common Shares 18,186 |
| Restricted Stock Units ⁽¹⁾ | \$ 0 | 02/28/2019 | | M | 19,385 | <u>(2)</u> <u>(2)</u> | Common Shares 19,385 |
| Restricted Stock Units ⁽¹⁾ | \$ 0 | 02/28/2019 | | M | 16,645 | <u>(2)</u> <u>(2)</u> | Common Shares 16,645 |
| Restricted Stock Units ⁽¹⁾ | \$ 0 | 02/28/2019 | | A | 83,013 | <u>(2)</u> <u>(2)</u> | Common Shares 83,013 |
| Restricted Stock Units ⁽¹⁾ | \$ 0 | 02/28/2019 | | A | 27,671 | <u>(3)</u> <u>(3)</u> | Common Shares 27,671 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Taylor Philip 1555 PEACHTREE STREET NE SUITE 1800 ATLANTA, GA 30309 | | | Senior Managing Director | |

Signatures

/s/ Robert H. Rigsby, as Attorney
in Fact

03/04/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one Common Share of Invesco Ltd.
 - (2) The Restricted Stock Units vest in three equal annual installments and expire upon the employee's termination of employment.
 - (3) The Restricted Stock Units vest in one installment on the fourth anniversary of the date of grant and expire upon the employee's termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.