

AMERICAN GENERAL LIFE INSURANCE CO

Form 4

January 30, 2019

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
AMERICAN INTERNATIONAL  
GROUP INC

(Last) (First) (Middle)

175 WATER STREET

(Street)

NEW YORK, NY 10038

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol

DNP SELECT INCOME FUND INC  
[DNP]

3. Date of Earliest Transaction  
(Month/Day/Year)

01/29/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

See Remarks

6. Individual or Joint/Group Filing(Check  
Applicable Line)

\_\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)                      | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                                      |
|------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|--------|------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|--------------------------------------|
|                                                      |                                      |                                                    | Code                           | V                                                                 | Amount | (A) or (D) | Price                                                                                         |                                                          |                                                       |                                      |
| 4.63% Mandatory Redeemable Preferred Shares Series E | 01/29/2019                           |                                                    | P                              |                                                                   | 660    | A          | \$ 100,000                                                                                    | 660                                                      | I                                                     | Held through subsidiaries <u>(1)</u> |
| Floating Rate Mandatory Redeemable Preferred Shares  |                                      |                                                    |                                |                                                                   |        |            |                                                                                               | 1,000                                                    | I                                                     | Held through subsidiaries <u>(2)</u> |

Series A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repor<br>Trans<br>(Instr |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                      |

## Reporting Owners

### Relationships

#### Reporting Owner Name / Address

Director 10% Owner Officer Other

AMERICAN INTERNATIONAL GROUP INC  
175 WATER STREET  
NEW YORK, NY 10038

See  
Remarks

AMERICAN GENERAL LIFE INSURANCE CO  
2727-A ALLEN PARKWAY  
HOUSTON, TX 77019

See  
Remarks

## Signatures

/s/ Geoffrey N. Cornell, Authorized Signatory of American International Group, Inc.

01/30/2019

                     \*\*Signature of Reporting Person

Date

/s/ Julie A. Cotton-Hearne, Authorized Signatory of American General Life  
Insurance Co.

01/30/2019

                     \*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) American General Life Insurance Company ("AGL"), The United States Life Insurance Company in the City of New York and The Variable Annuity Life Insurance Company, each an indirect wholly owned subsidiary of American International Group, Inc. ("AIG"), directly hold 640 shares, 10 shares and 10 shares, respectively, of the 4.63% Mandatory Redeemable Preferred Shares, Series E, of the Issuer (the "Series E Preferred Shares").
- (2) AGL and American Home Assurance Company, each an indirect wholly owned subsidiary of AIG, directly hold 675 shares and 325 shares, respectively, of the Floating Rate Mandatory Redeemable Preferred Shares, Series A, of the Issuer (the "Series A Preferred Shares" and together with the Series E Preferred Shares, the "Preferred Shares"). As set forth in a notice of redemption dated January 30, 2019, the Issuer will redeem all outstanding Series A Preferred Shares effective March 1, 2019.

### Remarks:

Filed pursuant to Section 30(h) of the Investment Company Act of 1940. The Reporting Persons are informed that the reference

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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