Yu Evan Form 4 September 04, 2018

Stock Units

## **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Yu Evan Issuer Symbol DIODES INC /DEL/ [DIOD] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 4949 HEDGCOXE ROAD, SUITE 08/31/2018 below) below) 200 VP Worldwide Analog Products (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **PLANO, TX 75024** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial Ownership (Month/Day/Year) (Instr. 8) Owned Direct (D) Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount (D) Price Diodes Incorporated 8,983 D 08/31/2018 S 36,170 D Common Stock Diodes Incorporated Common 10,000 D Stock -Performance

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: Yu Evan - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474 (9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1 | . Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.                                     | 6. Date Exer        | cisable and        | 7. Titl | e and    | 8. Price of | 9. Nu  |
|---|------------|-------------|---------------------|--------------------|-------------------|--|---------------------|--------------------|---------|----------|-------------|--------|
| Ι | Derivative | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |  | Expiration D        | ate                | Amou    | nt of    | Derivative  | Deriv  |
| S | Security   | or Exercise |                     | any                | Code              | of                                     | (Month/Day/         | Year)              | Under   | lying    | Security    | Secui  |
| ( | Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivativ                              | e                   |                    | Securi  | ities    | (Instr. 5)  | Bene   |
|   |            | Derivative  |                     |                    | Securities        |  |                     |                    | (Instr. | 3 and 4) |             | Owne   |
|   |            | Security    |                     |                    | Acquired          |  |                     |                    |         |          |             | Follo  |
|   |            | •           |                     |                    |                   | (A) or                                 |                     |                    |         |          |             | Repo   |
|   |            |             |                     |                    |                   | Disposed                               |                     |                    |         |          |             | Trans  |
|   |            |             |                     |                    |                   | of (D)                                 |                     |                    |         |          |             | (Instr |
|   |            |             |                     |                    |                   | (Instr. 3,                             |                     |                    |         |          |             |        |
|   |            |             | 4, and 5)           |                    |                   |  |                     |                    |         |          |             |        |
|   |            |             |                     |                    |                   |  |                     |                    |         | Amount   |             |        |
|   |            |             |                     |                    |                   |  | Date<br>Exercisable | Expiration<br>Date | Title   | Amount   |             |        |
|   |            |             |                     |                    |                   |  |                     |                    |         | or       |             |        |
|   |            |             |                     |                    |                   |  |                     |                    |         | Number   |             |        |
|   |            |             |                     |                    | ~                 | ,,, ,, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                     |                    |         | of       |             |        |
|   |            |             |                     |                    | Code V            | (A) (D)                                |                     |                    |         | Shares   |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Yu Evan 4949 HEDGCOXE ROAD **SUITE 200** PLANO, TX 75024

**VP** Worldwide Analog Products

## **Signatures**

Richard D. White as Power of Attorney for Evan Yu-Shu Yu

09/04/2018

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2