Bristow Claire H Form 4 May 31, 2018

## FORM 4

Check this box

if no longer

Section 16.

Form 4 or

obligations

may continue.

See Instruction

Form 5

subject to

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 2054
ATEMENT OF CHANGES IN BENEFIC

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Bristow Claire H Issuer Symbol FIRST CITIZENS BANCSHARES (Check all applicable) INC /DE/ [FCNCA] (Last) (First) (Middle) 3. Date of Earliest Transaction Director \_X\_\_ 10% Owner \_ Other (specify Officer (give title (Month/Day/Year) below) 4300 SIX FORKS ROAD 03/28/2018 (Street) 6. Individual or Joint/Group Filing(Check 4. If Amendment, Date Original Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting RALEIGH, NC 27609 Person

(City)	(State)	(Zip) Tab	le I - Non-I	Derivative Securities A	cquired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common					255,606	D	
Class A Common					10,858	I	As beneficiary of Trust
Class A Common					6,737 <u>(1)</u>	I	By Spouse
Class A Common					1,775 <u>(1)</u>	I	By Son, Peter M. Bristow, Jr.
					10,850 (1)	I	

**OMB APPROVAL** 

3235-0287

January 31,

2005

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Class A Common								By spouse as custodian for Peter Bristow, Jr.
Class A Common						12,533 (1)	I	By spouse as custodian for Charlotte Bristow
Class A Common						12,377 (1)	I	By spouse as custodian for Ella Bristow
Class A Common						6,106 (1)	I	PMB Investments, LLC
Class A Common						2,154 (1)	I	CRB Investments, LLC
Class A Common						2,045 (1)	I	EHB Investments, LLC
Class A Common						19,041 (1)	I	By spouse as trustee for PMB Trust 2011
Class A Common						19,041 <u>(1)</u>	I	By spouse as trustee for CRB Trust 2011
Class A Common						19,041 (1)	I	By spouse as trustee for EHB Trust 2011
Class B Common	03/28/2018	G	V 246	D	\$0	82,866	D	
Class B Common						1,250	I	As beneficiary of Trust
Class B Common						438 (1)	I	By spouse
Class B Common						2,080 (1)	I	By spouse as custodian for Peter Bristow, Jr.
						8,350 (1)	I	

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Class B Common									PMB Investments, LLC
Class B Common							2,081 (1)	I	By spouse as custodian for Charlotte Bristow
Class B Common							8,850 <u>(1)</u>	I	CRB Investments, LLC
Class B Common							2,081 (1)	I	By spouse as custodian for Ella Bristow
Class B Common							8,710 <u>(1)</u>	I	EHB Investments, LLC
Class B Common	03/28/2018	G	V	82	A	\$ 0	82 (1)	I	By Son. Peter M. Bristown, Jr.
Class B Common	03/28/2018	G	V	82	A	\$ 0	82 (1)	I	By daughter, Charlotte Bristow
Class B Common	03/28/2018	G	V	82	A	\$ 0	82 (1)	I	By daughter, Ella Bristow

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. cionNumber of Derivativ Securitie Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	s I	ate	7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)
				Code '	/ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Shares

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Bristow Claire H

4300 SIX FORKS ROAD X

RALEIGH, NC 27609

## **Signatures**

Claire H. Bristow, By: William R. Lathan, Jr.,
Attorney-in-fact
05/24/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the (1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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