Cotroneo Pat Form 4 May 23, 2018

### FORM 4

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

Form 4 or Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

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1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Cotroneo Pat

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(Middle)

FIBROGEN INC [FGEN]

(Check all applicable)

C/O FIBROGEN, INC., 409

(City)

3. Date of Earliest Transaction

(Month/Day/Year)

Director X\_ Officer (give title below)

10% Owner \_ Other (specify

05/21/2018

ILLINOIS ST.

4. If Amendment, Date Original

SVP, Finance and CFO 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN FRANCISCO, CA 94158

(City)	(State)	Tabl	e I - Non-D	Derivative S	Securi	ties Acqu	iired, Disposed of	f, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	tion Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/21/2018		Code V	Amount 7,987	or (D)	Price \$ 19.39	(Instr. 3 and 4) 239,291 (1)	D	
Common Stock	05/21/2018		M	7,000	A	\$ 25.4	246,291 <u>(1)</u>	D	
Common Stock	05/21/2018		S	14,987 (2)	D	\$ 55.04 (3)	231,304 (1)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 19.39	05/21/2018		M		7,987	<u>(4)</u>	02/22/2026	Common Stock	7,987	
Stock Option (Right to Buy)	\$ 25.4	05/21/2018		M		7,000	<u>(4)</u>	03/08/2027	Common Stock	7,000	

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Cotroneo Pat

C/O FIBROGEN, INC.

SVP, Finance and CFO 409 ILLINOIS ST.

SAN FRANCISCO, CA 94158

### **Signatures**

/s/ Dorothy Pacini,

05/23/2018 Attorney-in-fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,420 shares purchased through the Issuer's ESPP on May 15, 2018.
- (2) Shares sold pursuant to a 10b5-1 plan.

**(3)** 

Reporting Owners 2

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The shares were sold at prices ranging from \$55.00 to \$55.30. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.

(4) Twenty-five percent of the shares subject to the option vests on the first anniversary of the vesting commencement date, and the remainder vests in equal amounts quarterly thereafter for the following three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.