Edgar Filing: Norkus Hans Michael - Form 4

Norkus Hans	s Michael										
Form 4											
April 03, 201											
FORM	FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMMISSION	OMB APPROVAL		
Washington, D.C. 20549								OMB Number:	3235-0287		
Check this box					D.C. 20	547				January 31	
if no long		EMENT O	F CHAN	GES IN	BENEF	ICIA	LOW	NERSHIP OF	Expires:	2005	
subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Estimated average burden hours per				
	Form 4 or							response	0.5		
Form 5	Filed p	oursuant to	Section 1	6(a) of the	e Securit	ies E	Exchange	e Act of 1934,			
obligation may cont		7(a) of the	Public Ut	ility Hold	ling Con	npan	y Act of	1935 or Section	n		
See Instru		30(h)	of the In	vestment	Compan	y Ac	t of 194	0			
1(b).											
	, ,										
(Print or Type I	(kesponses)										
1. Name and Address of Reporting Person <u>2</u> . Issuer				Name and Ticker or Trading				5. Relationship of Reporting Person(s) to			
Norkus Hans Michael Symbol				-				Issuer			
			-	EE & W	YOMIN	G IN	С				
[G							-	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of	Earliest Tr	ansaction			X Director	10%	Owner	
(Month/Da								Officer (give title Other (specify			
C/O GENES	SEE & WYOM	IING	03/31/2	-				below)	below)		
INC., 20 W	EST AVENUE	l,									
Filed(Mon			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check Applicable Line)			
			Filed(Mon	Month/Day/Year)							
							X Form filed by One Reporting Person Form filed by More than One Reporting				
DARIEN, C	СТ 06820							Person	iore man one Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction D	ate 2A Dee		3.			_	5. Amount of	6. Ownership	-	
Security	(Month/Day/Yea		med 3. 4. Securities Acquired on Date, if Transaction(A) or Disposed of (D)				-	Securities	Form: Direct		
(Instr. 3)		any		Code (Instr. 3, 4 and 5)				Beneficially		Beneficial	
		(Month/	Day/Year)	(Instr. 8)				Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
								Reported	(Instr. 1)	(1150.1)	
						(A) or		Transaction(s)			
				Code V	Amount		Price	(Instr. 3 and 4)			
Class A											
Common	03/31/2018			А	325 <u>(1)</u>	А	\$	24,688	D		
Stock, \$.01	05/51/2010			11	525 <u>··</u>	11	70.14	21,000	D		
par value											

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Securi	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address

Norkus Hans Michael C/O GENESEE & WYOMING INC. Х 20 WEST AVENUE **DARIEN, CT 06820**

Signatures

Allison M. Fergus, Attorney-in-Fact for Hans Michael Norkus

**Signature of Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These are deferred stock units acquired pursuant to the deferred stock arrangements for non-employee directors in accordance with the terms of the Third Amended and Restated 2004 Omnibus Incentive Plan ("Omnibus Plan") of Genesee & Wyoming Inc. (the

(1) "Company"). The deferred stock units are converted into shares of the Company's Class A Common Stock on a one-for-one basis upon distribution. Distribution of shares of Class A Common Stock occurs the first day of the calendar month after a director's service on the Company's Board of Directors terminates or upon another date or dates designated by the director pursuant to the Omnibus Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

04/03/2018

Date

Relationships

10% Owner Officer Other Director