Edgar Filing: Gallagher Timothy J - Form 4/A

Gallagher Tii Form 4/A	mothy J										
March 27, 20								OMB AF	PPROVAL		
FORM	FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 OMB Number: 3235-028										
Check thi		· ·	vashington		Number: Expires:	January 31,					
if no long subject to Section 10 Form 4 or	6. r	STATEMENT OF CHANGES IN BENEFICIAL OWNERSH SECURITIES							2005 average rs per 0.5		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> Gallagher Timothy J		Symb				-	5. Relationship of Reporting Person(s) to Issuer				
		GEN [GW	ESEE & W R]	YOMIN	G IN	С	(Check all applicable)				
(Last)	(First) (M	(First) (Middle) 3. Date of Earli (Month/Day/Ye					Director X Officer (give	title Othe	Owner er (specify		
	SEE & WYOMIN EST AVENUE	G 02/2'	7/2017				below) below) Chief Financial Officer				
			Amendment, D Month/Day/Yea	-	1		6. Individual or Joint/Group Filing(Check Applicable Line)				
			1/2017				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip) Table				Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)		Transaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year)				cquired d of (D) 5)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Class A Common Stock, \$.01 par value	02/27/2017		F	873 <u>(1)</u>	D	\$ 74.7	51,146 <u>(2)</u>	D			
Class A Common Stock, \$.01 par value	02/28/2017		F	679 <u>(1)</u>	D	\$ 74.14	50,467 <u>(2)</u>	D			
Class A Common Stock, \$.01	02/28/2017		А	2,918 (3)	А	\$0	53,385 <u>(2)</u>	D			

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par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Other

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		Of Numebor		
						Exercisable	Date	Title Number of			
					(A) (D)						
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Gallagher Timothy J C/O GENESEE & WYOMING INC. Chief Financial Officer 20 WEST AVENUE DARIEN, CT 06820 Signatures Allison M. Fergus, Attorney-in-Fact for Timothy J. 03/27/2018 Gallagher

**Signature of Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Form 4 originally filed on March 1, 2017 is being amended to correct the under-reporting of shares surrendered to Genesee & (1) Wyoming Inc. for the payment of taxes in connection with the vesting of the previously granted restricted stock award due to an

- administrative error.
- The Form 4 originally filed on March 1, 2017 also is being amended to correct the balance in this row, which was incorrect due to the (2) under-reporting of the shares noted above.

Date

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(3) This annual restricted stock award was granted under the Genesee & Wyoming Inc. Third Amended and Restated 2004 Omnibus Incentive Plan and will vest in three equal annual installments, beginning February 28, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.