

GORMAN JAMES CARVELL  
 Form 5  
 February 09, 2018

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
**GORMAN JAMES CARVELL**

2. Issuer Name and Ticker or Trading Symbol  
**GORMAN RUPP CO [GRC]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Chairman

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
 12/31/2017

**THE GORMAN-RUPP COMPANY, 600 SOUTH AIRPORT ROAD**

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

**MANSFIELD, OH 44903**

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Amount Price			
Common Stock	01/04/2017	Â	G	3,915 D \$ 0	1,278,107	I	By James C. Gorman Trust
Common Stock	11/15/2017	Â	G	900 D \$ 0	1,277,207	I	By James C. Gorman Trust

Edgar Filing: GORMAN JAMES CARVELL - Form 5

Common Stock	11/27/2017	Â	G	219	D	\$ 0	1,276,988	I	By James C. Gorman Trust
Common Stock (401-K Plan)	03/31/2017	Â	<u>J(1)</u>	58	A	\$ 31.4	7,907	I	By 401-K Trust
Common Stock (401-K Plan)	06/30/2017	Â	<u>J(1)</u>	78	A	\$ 25.47	7,985	I	By 401-K Trust
Common Stock (401-K Plan)	09/30/2017	Â	<u>J(1)</u>	44	A	\$ 32.57	8,029	I	By 401-K Trust
Common Stock (401-K Plan)	12/05/2017	Â	<u>J(2)</u>	794	D	\$ 31.89	7,235	I	By 401-K Trust
Common Stock (401-K Plan)	12/31/2017	Â	<u>J(1)</u>	63	A	\$ 31.21	7,298	I	By 401-K Trust
Common Stock	01/04/2017	Â	G	3,915	A	\$ 0	3,929,913	I	By family <u>(3)</u>
Common Stock	03/01/2017	Â	<u>S4(4)</u>	8	D	\$ 31.76	3,929,905	I	By family <u>(5)</u>
Common Stock	03/15/2017	Â	<u>J(6)</u>	283	A	\$ 32	3,930,188	I	By family <u>(7)</u>
Common Stock	03/23/2017	Â	<u>S4(8)</u>	50	D	\$ 30.15	3,930,138	I	By family <u>(9)</u>
Common Stock	03/31/2017	Â	<u>J(1)</u>	173	A	\$ 31.4	3,930,311	I	By family <u>(10)</u>
Common Stock	06/14/2017	Â	<u>J(6)</u>	352	A	\$ 25.73	3,930,663	I	By family <u>(11)</u>
Common Stock	06/30/2017	Â	<u>J(1)</u>	326	A	\$ 25.47	3,930,989	I	By family <u>(12)</u>
Common Stock	09/12/2017	Â	<u>J(6)</u>	302	A	\$ 30.28	3,931,291	I	By family <u>(13)</u>
Common Stock	09/30/2017	Â	<u>J(1)</u>	104	A	\$ 32.57	3,931,395	I	By family <u>(14)</u>
	12/12/2017	Â	<u>J(6)</u>	316	A		3,931,711	I	

Common Stock						\$ 31.49			By family (15)
Common Stock	12/31/2017	Â	J <sup>(1)</sup>	226	A	\$ 31.21	3,931,937	I	By family (16)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Price of Underlying Security (Instr. 5)
--	--	--------------------------------------	--	--------------------------------	---	--	---	--	--

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GORMAN JAMES CARVELL THE GORMAN-RUPP COMPANY 600 SOUTH AIRPORT ROAD MANSFIELD, OH 44903	Â X	Â X	Â Chairman	Â

## Signatures

James C. Gorman BY: /s/Brigitte A. Burnell  
Attorney-in-Fact  
02/09/2018

\_\_Signature of Reporting Person  
Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired under GRC 401(k) Plan.
- (2) Distribution of cash equivalent of 794 common shares required to be made by internal revenue code due to reporting person being over age 70 1/2.
- (3)

## Edgar Filing: GORMAN JAMES CARVELL - Form 5

Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes 3,225,298 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

- (4) Shares sold on March 1, 2017 are being reported late due to an inadvertent clerical error.

Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes

- (5) 3,225,290 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

- (6) Shares acquired through dividend reinvestment.

Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes

- (7) 3,225,573 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

- (8) Shares sold on March 23, 2017 are being reported late due to an inadvertent clerical error.

Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes

- (9) 3,225,523 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes

- (10) 3,225,696 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes

- (11) 3,226,048 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes

- (12) 3,226,374 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes

- (13) 3,226,676 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes

- (14) 3,226,780 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes

- (15) 3,227,096 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

Includes 704,615 shares held in trusts in which Mr. Gorman and members of his family have beneficial interests; also includes

- (16) 3,227,322 shares beneficially owned by members of Mr. Gorman's immediate family. Mr. Gorman disclaims beneficial ownership of all of the shares referred to in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.