Raphael Joanne H Form 4 January 24, 2018

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

0.5

**OMB APPROVAL** 

response...

burden hours per

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Raphael Joanne H

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

PPL Corp [PPL]

(Middle)

(Check all applicable)

(First)

(Street)

(Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

01/22/2018

below) SVP, GC & Corp Sec

TWO NORTH NINTH STREET

4. If Amendment, Date Original Filed(Month/Day/Year)

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### ALLENTOWN, PA 18101

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |              |              |          |  |   |   |   |  |  |
|--------------------------------------|---|--|--------------|--------------|----------|--|---|---|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed 3. 4. Securities Acqui Execution Date, if Transaction(A) or Disposed of any Code (Instr. 3, 4 and 5)  (Month/Day/Year) (Instr. 8) |              |              | d of (D) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |   |  |  |
| Common<br>Stock                      | 01/22/2018                              |  | Code V M     | Amount 7,281 | (D)      | Price \$ 31.49   | (Instr. 3 and 4)<br>24,080  | D |   |  |  |
| Common<br>Stock                      | 01/22/2018                              |  | F <u>(1)</u> | 2,236        | D        | \$<br>31.49  | 21,844  | D |   |  |  |
| Common<br>Stock                      | 01/23/2018                              |  | S(2)         | 5,045        | D        | \$ 31.6  | 16,799  | D |   |  |  |
| Common<br>Stock                      |   |  |              |              |          |  | 1,494.14 (3)  | I | Held in trust<br>pursuant to<br>the<br>Employee |  |  |

Stock

Ownership Plan.

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number one Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | (Instr. 3 and 4) |  | 8. Pric<br>Deriva<br>Securi<br>(Instr. |
|---|---|---|---|--|--|--------------------|------------------|--|--|
|   |   |   | Code V                                  | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of<br>Shares |  |
| Stock<br>Unit<br>(ICPKE)                            | \$ 0  | 01/22/2018                              | M                                       | 7,281  | <u>(4)</u>   | <u>(4)</u>         | Common<br>Stock  | 7,281                                  | \$ (                                   |

# **Reporting Owners**

Director 10% Owner Officer Other

Raphael Joanne H TWO NORTH NINTH STREET ALLENTOWN, PA 18101

SVP, GC & Corp Sec

# **Signatures**

/s/Frederick C. Paine, as Attorney-In-Fact for Joanne H. 01/24/2018 Raphael

> \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares withheld by the company at the request of the executive officer to pay taxes due following expiration of the applicable restriction **(1)** period, under the terms of the Incentive Compensation Plan for Key Employees (ICPKE).
- (2) Represents the sale of 5,045 shares of common stock pursuant to a 10b5-1 plan, dated March 6, 2017.

Reporting Owners 2

### Edgar Filing: Raphael Joanne H - Form 4

- (3) Total includes the reinvestment of dividends.
- (4) The units vested on January 22, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.