BELL VICTOR E III

Form 4

January 08, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287

**OMB APPROVAL** 

Number:

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average

response...

burden hours per

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2 Jasuar Nama and Tiakar or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

may continue.

See Instruction

BELL VICTOR E III			2. Issuer Name and Ticker or Trading Symbol FIRST CITIZENS BANCSHARES						Issuer			
			NC /DE				па	KES	(Che	eck all applicable	e)	
(Last)	(First) (M		3. Date of Earliest Transaction (Month/Day/Year)					X Director Officer (giv below)		6 Owner er (specify		
PO BOX 17	274	0	01/02/20	18					below)	below)		
	(Street)		. If Amen			e Original			6. Individual or .  Applicable Line)  _X_ Form filed by	One Reporting Po	erson	
RALEIGH,	NC 27619-7274								Person	More than One R	eporting	
(City)	(State)	(Zip)	Table	I - Non-	-De	rivative S	ecuri	ties Ac	quired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	Code (Instr. 8	8)	4. Securit nAcquired Disposed (Instr. 3,	(A) o of (D	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock									427	D	Marjan	
Class A Common Stock									673	I	Ltd. Restated Profit Sharing Plan	
Class A Common Stock	01/02/2018			<u>J(1)</u>	V	2,037	D	\$0	0	I	As Trustee of Victor E. Bell	

									Trust II
Class A Common Stock	01/02/2018	J(2)	V	679	A	\$0	679	I	As Trustee of Victor E. Bell Trust IV
Class A Common Stock							1,346	I	As Trustee of Trust for child of V. Bell III and M. Bell
Class A Common Stock	01/02/2018	J <u>(1)</u>	V	4,812	D	\$0	0	I	As Trustee of VEB, Jr. Family Trust
Class A Common Stock	01/02/2018	J <u>(2)</u>	V	1,604	A	\$ 0	1,604	I	As Trustee of Victor E. and Jane McNair Bell Trust VI
Class A Common Stock							0 (3)	I	By daughter Mary Grady B. Bell

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3,	S	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)		Title		

Date Expiration Exercisable Date

Amount or Number of Shares

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

BELL VICTOR E III

PO BOX 17274

X

## **Signatures**

RALEIGH, NC 27619-7274

Victor E. Bell III, By: William R. Lathan, Jr., Attorney-in-fact

01/08/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transfer of shares from trust to new trusts.
- (2) Receipt of shares by transfer to new trust.

Reporting Person's adult daughter, Mary Grady B. Bell, no longer resides in the Reporting Person's home. Therefore, the reporting person no longer has a reportable beneficial ownership in 50 shares of Class A Common stock owned by his daughter and included in Reporting Person's prior ownership reports.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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