## Edgar Filing: Hornby Zachary - Form 4

| Hornby Zach                           | nary   |                |   |   |  |        |            |   |                      |                                       |  |
|---------------------------------------|--|----------------|---|---|--|--------|------------|---|----------------------|---------------------------------------|--|
| Form 4                                |  |                |   |   |  |        |            |   |                      |                                       |  |
| December 22                           | 2, 2017  |                |   |   |  |        |            |   |                      |                                       |  |
| FORM                                  | 14   |                |   |   |  |        |            |   | -                    | PPROVAL                               |  |
|                                       | UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549 |                |   |   |  |        | COMMISSION | OMB<br>Number:  | 3235-0287            |                                       |  |
| Check thi                             |  |                |   |   |  |        |            |   | Expires:             | January 31,                           |  |
|                                       | Subject to   |                |   |   | CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES |        |            |   |                      | 2005<br>average                       |  |
| 0                                     |  |                |   |   |  |        |            |   |                      | Estimated average<br>burden hours per |  |
| Form 4 or                             |  |                |   |   |  |        |            | response  | •                    |                                       |  |
| Form 5<br>obligation                  | <b>1</b>   |                |   |   |  |        | U          | e Act of 1934,  |                      |                                       |  |
| may cont                              |  |                |   | •   | •  | • •    |            | f 1935 or Sectio  | n                    |                                       |  |
| <i>See</i> Instru<br>1(b).            | action   | 30(h)          | of the Inv  | vestment  | Company  | / Act  | of 194     | 10  |                      |                                       |  |
| (Print or Type F                      | Responses)   |                |   |   |  |        |            |   |                      |                                       |  |
|                                       |  |                |   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol |  |        |            | 5. Relationship of Reporting Person(s) to Issuer              |                      |                                       |  |
| Ign                                   |  |                | Ignyta, I   | gnyta, Inc. [RXDX]                                    |  |        |            | (Check all applicable)  |                      |                                       |  |
| (Last)                                | (First)  | (Middle)       | 3. Date of  | Earliest Tr   | ansaction  |        |            | (Chee   | k an applicable      | 5)                                    |  |
|                                       |  |                | (Month/D  | ay/Year)  |  |        |            | Director  | 10%                  | Owner                                 |  |
|                                       | CA, INC., 4545   | TOWNE          | 12/22/20  | )17   |  |        |            | X Officer (give<br>below)                                     | e title Other below) | er (specify                           |  |
| CENTRE C                              | OURT   |                |   |   |  |        |            | · · · · · · · · · · · · · · · · · · ·                         | Operating Offic      | cer                                   |  |
|                                       | (Street)   |                | 4 If Amer   | ndment Da   | te Original                                      |        |            | 6 Individual or Id  | oint/Group Filin     | 19(Check                              |  |
| · · · · · · · · · · · · · · · · · · · |  |                |   | If Amendment, Date Original<br>ed(Month/Day/Year)     |  |        |            | 6. Individual or Joint/Group Filing(Check<br>Applicable Line) |                      |                                       |  |
|                                       |  |                |   | 2   | ,<br>  |        |            | _X_ Form filed by 0   | 1 0                  |                                       |  |
| SAN DIEGO                             | O, CA 92121  |                |   |   |  |        |            | Form filed by N<br>Person                                     | More than One Re     | eporting                              |  |
| (City)                                | (State)  | (Zip)          | Table   | e I - Non-D   | erivative S                                      | ecurit | ies Acq    | uired, Disposed of  | f, or Beneficial     | lly Owned                             |  |
| 1.Title of                            | 2. Transaction Da  |                |   | 3.  |  |        |            | 5. Amount of  | 6. Ownership         |                                       |  |
| Security                              | (Month/Day/Yea   |                | on Date, if   |   | on(A) or Dia                                     | sposed | l of       | Securities  | Form: Direct         | Indirect                              |  |
| (Instr. 3)                            |  | any<br>(Month/ | Code (D)<br>/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) |   |  |        | 5)         | Beneficially (D) or<br>Owned Indirect (I)                     |                      | Beneficial<br>Ownership               |  |
|                                       |  | (              | ;)  | (   | (,   |        | - /        | Following   | (Instr. 4)           | (Instr. 4)                            |  |
|                                       |  |                |   |   |  | (A)    |            | Reported  |                      |                                       |  |
|                                       |  |                |   |   |  | or     |            | Transaction(s) (Instr. 3 and 4)                               |                      |                                       |  |
| C                                     |  |                |   | Code V  |  | (D)    | Price      | (Labur o und 1)   |                      |                                       |  |
| Common<br>Stock                       | 12/22/2017   |                |   | А   | 37,167<br>(1)                                    | А      | \$0        | 184,300   | D                    |                                       |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5.<br>tionNumber<br>of<br>) Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 3                   | Date               | Secur | ınt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owno<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--------------------------------------|---|---------------------|--------------------|-------|--|---|--|
|   |   |   |   | Code N                               | 7 (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                         |       |  |  |  |  |
|--|---------------|-----------|-------------------------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer                 | Other |  |  |  |  |
| Hornby Zachary<br>C/O IGNYTA, INC.<br>4545 TOWNE CENTRE COURT<br>SAN DIEGO, CA 92121 |               |           | Chief Operating Officer |       |  |  |  |  |
| Signatures   |               |           |                         |       |  |  |  |  |
| /s/ Jacob Chacko,<br>Attorney-in-Fact  | 12/2          | 2/2017    |                         |       |  |  |  |  |
| **Signature of Reporting Person  | Ι             | Date      |                         |       |  |  |  |  |
| Evaluation of Decanonace   |               |           |                         |       |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the grant of restricted stock units to the Reporting Person. Each restricted stock unit represents a contingent right to receive
   (1) one share of the Issuer's common stock. The restricted stock units will vest as to 25% of the shares underlying each award on each anniversary of the Grant Date, subject to the Reporting Person's continues service with the Company through the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.