

RICHENHAGEN MARTIN

Form 4

August 03, 2017

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
RICHENHAGEN MARTIN

(Last) (First) (Middle)

AGCO CORPORATION, 4205
RIVER GREEN PARKWAY

(Street)

DULUTH, GA 30096-2584

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
AGCO CORP /DE [AGCO]

3. Date of Earliest Transaction
(Month/Day/Year)
08/01/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman, President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/01/2017		M	V Amount (A) or (D) Price 68,000 A \$ 52.94	607,118	D	
Common Stock	08/01/2017		M	V Amount (A) or (D) Price 78,500 A \$ 51.84	685,618	D	
Common Stock	08/01/2017		M	V Amount (A) or (D) Price 59,250 A \$ 55.23	744,868	D	
Common Stock	08/01/2017		F	V Amount (A) or (D) Price 177,858 D \$ 71.91	567,010	D	
Common Stock					123,584	I	By Trust (1)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 52.94	08/01/2017		M	68,000	<u>(2)</u>	01/25/2019	Common Stock	68,000
Stock Appreciation Right	\$ 51.84	08/01/2017		M	78,500	<u>(3)</u>	01/23/2020	Common Stock	78,500
Stock Appreciation Right	\$ 55.23	08/01/2017		M	59,250	<u>(4)</u>	01/22/2021	Common Stock	59,250

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
RICHENHAGEN MARTIN AGCO CORPORATION 4205 RIVER GREEN PARKWAY DULUTH, GA 30096-2584	X Chairman, President and CEO

Signatures

Lynnette D. Schoenfeld
Attorney-in-fact
08/03/2017

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Owned by The Richenhagen Irrevocable Trust - Brigitte Charlotte Anna Richenhagen, Trustee, under agreement dated December 20, 2012. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.
- (2) Exercisable in four equal annual installments beginning January 25, 2013.
- (3) Exercisable in four equal annual installments beginning January 23, 2014.
- (4) Exercisable in four equal annual installments beginning January 22, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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