

3D SYSTEMS CORP

Form 4

May 17, 2017

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LOEWENBAUM G WALTER II

(Last) (First) (Middle)

333 THREE D SYSTEMS CIRCLE

(Street)

ROCK HILL, SC 29730

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
3D SYSTEMS CORP [DDD]

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/16/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/16/2017		A	4,290 A	1,067,106	D	
Common Stock	05/16/2017		G	10,000 D	1,057,106	D	
Common Stock					176,944	I	By spouse <sup>(2)</sup>
Common Stock					376,441	I	By The Loewenbaum 1992 Trust <sup>(2)</sup> <sup>(3)</sup>
Common					33,279	I	By The Lillian

Edgar Filing: 3D SYSTEMS CORP - Form 4

Stock			Shaw Loewenbaum Trust <u>(2)</u> <u>(3)</u>
Common Stock	5,100	I	By Anna Willis Loewenbaum 1993 Trust <u>(2)</u> <u>(3)</u>
Common Stock	5,100	I	The Elizabeth Scott Loewenbaum 1993 Trust <u>(2)</u> <u>(3)</u>
Common Stock	60,000	I	By The Wally's Trust u/w/o Joel Simon Loewenbaum <u>(2)</u> <u>(4)</u>
Common Stock	55,565	I	By The Waterproof Partnership, L.P. <u>(2)</u> <u>(5)</u>
Common Stock	67,500	I	Blanco Cattle & Ranch, LLC <u>(6)</u>
Common Stock	11,703	I	Lillian Shaw Loewenbaum TTEE the LSL3DS 2014 Annuity Trust U/A/ Dtd 11/13/2014 <u>(2)</u> <u>(7)</u>
Common Stock	40,868	I	The GWL3D 2015 Annuity Trust U/A Dtd 05/18/2015 <u>(2)</u> <u>(4)</u>
Common Stock	27,538	I	The LSL3D 2015 Annuity Trust U/A Dtd 05/18/2015 <u>(2)</u> <u>(7)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code	V (A) (D)				

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
LOEWENBAUM G WALTER II 333 THREE D SYSTEMS CIRCLE ROCK HILL, SC 29730	X

## Signatures

/s/ Andrew M. Johnson,  
Attorney-in-Fact 05/17/2017

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- A total of 4,290 shares were awarded to the Reporting Person. Of those shares, 3,000 shares were awarded to the Reporting Person
- (1) pursuant to the 2004 Restricted Stock Plan for Non-Employee Directors, and the Reporting Person purchased these shares at par value, or \$0.001 per share; and 1,290 shares were awarded to the Reporting Person pursuant to the Issuer's 2015 Incentive Plan.
  - (2) The Reporting Person disclaims beneficial ownership of these securities except to the extent of any pecuniary interest therein.
  - (3) Mr. and Mrs. Loewenbaum serve as Trustees.
  - (4) Mr. Loewenbaum serves as Trustee.
  - (5) Mr. and Mrs. Loewenbaum are the general partners.
  - (6) The limited liability company is owned 100% by Mr. Loewenbaum.

## Edgar Filing: 3D SYSTEMS CORP - Form 4

(7) Mrs. Loewenbaum serves as trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.