

PLEXUS CORP
Form 4
January 25, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CORTINOVIS STEPHEN P

(Last) (First) (Middle)

ONE PLEXUS WAY

(Street)

NEENAH, WI 54956

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
PLEXUS CORP [PLXS]

3. Date of Earliest Transaction
(Month/Day/Year)
01/23/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|---------------------|---|---|------------|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | | | |
| Common Stock, \$.01 par value | 01/23/2017 | | M | | 2,500 | A | \$ 27.465 | 26,858 | D |
| Common Stock, \$.01 par value | 01/23/2017 | | M | | 2,500 | A | \$ 22.17 | 29,358 | D |
| Common Stock, \$.01 par value | 01/23/2017 | | M | | 2,500 | A | \$ 24.21 | 31,858 | D |

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| | | | | | | | |
|-------------------------------|------------|-------------|--------|---|--------------------------|--------|---|
| Common Stock, \$.01 par value | 01/23/2017 | M | 2,500 | A | \$ 29.71 | 34,358 | D |
| Common Stock, \$.01 par value | 01/23/2017 | M | 2,500 | A | \$ 14.17 | 36,858 | D |
| Common Stock, \$.01 par value | 01/23/2017 | M | 1,250 | A | \$ 14.625 | 38,108 | D |
| Common Stock, \$.01 par value | 01/23/2017 | S | 13,750 | D | \$ 52.8791 <u>(1)</u> | 24,358 | D |
| Common Stock, \$.01 par value | 01/25/2017 | <u>M(2)</u> | 3,174 | A | <u>(2)</u> | 27,532 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock Units | <u>(3)</u> | 01/23/2017 | | A | 2,540 | <u>(3)</u> | <u>(3)</u> | Common Stock | 2,540 |
| Options to Buy | \$ 27.465 | 01/23/2017 | | M | 2,500 | <u>(4)</u> | 11/23/2017 | Common Stock | 2,500 |
| | \$ 22.17 | 01/23/2017 | | M | 2,500 | <u>(4)</u> | 01/28/2018 | | 2,500 |

| | | | | | | | | | |
|------------------------|-----------|------------|------|-------|-----|------------|--|--------------|-------|
| Options to Buy | | | | | | | | Common Stock | |
| Options to Buy | \$ 24.21 | 01/23/2017 | M | 2,500 | (4) | 04/28/2018 | | Common Stock | 2,500 |
| Options to Buy | \$ 29.71 | 01/23/2017 | M | 2,500 | (4) | 07/29/2018 | | Common Stock | 2,500 |
| Options to Buy | \$ 14.17 | 01/23/2017 | M | 2,500 | (4) | 11/19/2018 | | Common Stock | 2,500 |
| Options to Buy | \$ 14.625 | 01/23/2017 | M | 1,250 | (4) | 02/02/2019 | | Common Stock | 1,250 |
| Restricted Stock Units | (2) | 01/25/2017 | M(2) | 3,174 | (2) | (2) | | Common Stock | 3,174 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| CORTINOVIS STEPHEN P ONE PLEXUS WAY NEENAH, WI 54956 | | X | | |

Signatures

Stephen P. Cortinovis, by Kate A. Gitter,
Attorney-in-Fact

01/25/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$52.67 to \$53.08 per share. The reported price reflects the (1) weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (2) Each Restricted Stock Unit granted under the Plexus Corp. 2008 Long-Term Incentive Plan, which qualifies under Rule 16b-3, represented a contingent right to receive one share of Plexus Corp. common stock. The Restricted Stock Units vested and settled on January 25, 2017.
- (3) Each Restricted Stock Unit granted under the Plexus Corp. 2016 Omnibus Incentive Plan, which qualifies under Rule 16b-3, represents a contingent right to receive one share of Plexus Corp. common stock. The Restricted Stock Units vest on January 23, 2018.
- (4) Options granted under the Plexus Corp. 2008 Long-Term Incentive Plan, or a predecessor plan, which qualifies under Rule 16b-3; now fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.