#### Edgar Filing: COSTCO WHOLESALE CORP /NEW - Form 5

#### COSTCO WHOLESALE CORP /NEW

Form 5

Common

Stock

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12/29/2015

September 29, 2016

**OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer SINEGAL JAMES D Symbol COSTCO WHOLESALE CORP (Check all applicable) /NEW [COST] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) \_X\_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 08/28/2016 999 LAKE DRIVE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) ISSAQUAH, WAÂ 98027 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Form: Direct Indirect Security (Month/Day/Year) Execution Date, if Transaction (A) or Disposed of Securities (Instr. 3) Code (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) Fiscal Year (A) (Instr. 3 and or Price Amount (D) Common Â \$ (1) 897,494 Â 12/10/2015 G 1,050 D D Stock Common Â 12/10/2015 Â G 3,814 D \$ (1) 893,680 D Stock Common Â Â 12/17/2015 G 1,680 D \$ (1) 842,000 D Stock

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D

\$ (2) 859,569

17,569 A

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Common Stock	01/25/2016	Â	G	55,875	D	\$ (1)	759,569	D	Â
Common Stock	02/02/2016	Â	J	22,720	A	\$ (2)	782,289	D	Â
Common Stock	04/08/2016	Â	J	6,850	A	\$ (2)	789,139	D	Â
Common Stock	08/08/2016	Â	G	889	D	\$ (1)	788,250	D	Â
Common Stock	12/29/2015	Â	J	17,569	D	\$ (2)	786,449	I	By LLC
Common Stock	02/02/2016	Â	J	22,720	D	\$ (2)	763,729	I	By LLC
Common Stock	04/08/2016	Â	J	6,850	D	\$ (2)	756,879	I	By LLC
Common Stock	Â	Â	Â	Â	Â	Â	5	I	By GRAT
Common Stock	Â	Â	Â	Â	Â	Â	5	I	By Spouse's GRAT

securities beneficially owned directly or indirectly.

Reminder: Report on a separate line for each class of

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SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	s I		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

Director 10% Owner Officer Other

2 Reporting Owners

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SINEGAL JAMES D 999 LAKE DRIVE Â X Â Â Â ISSAQUAH, WAÂ 98027

## **Signatures**

Deanna K. Nakashima, 09/29/2016 attorney-in-fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Personal gift.
- (2) Represents shares distributed from LLC to reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3