

AMKOR TECHNOLOGY, INC.
Form 4
August 09, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Kelley Stephen Douglas

2. Issuer Name and Ticker or Trading Symbol
AMKOR TECHNOLOGY, INC.
[AMKR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
2045 EAST INNOVATION CIRCLE

3. Date of Earliest Transaction (Month/Day/Year)
08/08/2016

Director 10% Owner
 Officer (give title below) Other (specify below)
President and CEO

(Street)
TEMPE, AZ 85284

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--------------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Amkor Technology, Inc., Common Stock | 08/08/2016 | | F | | 21,563 | D | \$ 8.24 |
| | | | | | 354,665 | D | (1) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title |
| Employee Stock Option (Right to Buy) | \$ 4.5 | 08/04/2016 | | M | 100,000 ⁽²⁾ | 05/08/2014 ⁽³⁾ | 05/08/2023 | Amkor Technology, Inc., Common Stock |
| Employee Stock Option (Right to Buy) | \$ 4.5 | 08/08/2016 | | M | 31,539 ⁽⁴⁾ | 05/08/2014 ⁽³⁾ | 05/08/2023 | Amkor Technology, Inc., Common Stock |
| Employee Stock Option (Right to Buy) | \$ 4.5 | 08/09/2016 | | M | 68,461 ⁽⁵⁾ | 05/08/2014 ⁽³⁾ | 05/08/2023 | Amkor Technology, Inc., Common Stock |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Kelley Stephen Douglas 2045 EAST INNOVATION CIRCLE TEMPE, AZ 85284 | X | | President and CEO | |

Signatures

Jerry C. Allison, Attorney-in-Fact for Stephen D. Kelley
08/09/2016

____Signature of Reporting Person

____Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Also reflects the June 2, 2016 transfer of 129,792 shares to Mr. Kelley's ex-spouse pursuant to the terms of a qualified domestic relations order.

(2)

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Reflects the August 4, 2016 exercise of 100,000 employee stock options at the direction of Mr. Kelley's ex-spouse pursuant to the terms of a qualified domestic relations order. Mr. Kelley's ex-spouse will retain all proceeds from the sales.

- (3) The option grant vests as follows: 25% of the shares vest on the first anniversary of the grant date and 1/16 of the option vests each quarter thereafter, such that 100% of the option will vest on the fourth anniversary of the grant date.
- (4) Reflects the August 8, 2016 exercise of 31,539 employee stock options at the direction of Mr. Kelley's ex-spouse pursuant to the terms of a qualified domestic relations order. Mr. Kelley's ex-spouse will retain all proceeds from the sales.
- (5) Reflects the August 9, 2016 exercise of 68,461 employee stock options at the direction of Mr. Kelley's ex-spouse pursuant to the terms of a qualified domestic relations order. Mr. Kelley's ex-spouse will retain all proceeds from the sales.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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