#### FIRST CITIZENS BANCSHARES INC /DE/

Form 4 June 29, 2016

# FORM 4 UNIT

#### OMB APPROVAL

**OMB** 

5. Relationship of Reporting Person(s) to

Issuer

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF CHANGES IN BURNEFICIAL OWNERSHIP OWNER

Number: January 31, 2005

3235-0287

Section 16.

SECURITIES

Form 4 or

Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Estimated average burden hours per response... 0.5

obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

FIRST CITIZENS BANCSHARES

astruction 30(h) of the Investment Company Act of 1940

Symbol

1(b).

(Print or Type Responses)

HOLDING FRANK B JR

1. Name and Address of Reporting Person \*

			INC /DE/ [FCNCA]				KES	(Cl	neck all applica	ble)	
(Me			3. Date of Earliest Transaction (Month/Day/Year) 06/27/2016					_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) Chairman and CEO			
RALEIGH,	(Street) NC 27609		4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual of Applicable Line) _X_ Form filed b	Person		
(City)	(State)	(Zip)	Tahl	e I - Non-D	erivative	Securi	ties A	Person equired, Disposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)  Class A Common Stock	2. Transaction Date (Month/Day/Year)	e 2A. Deem Execution any (Month/D	ned n Date, if	3. Transaction Code (Instr. 8)	4. Securit	ties (A) or of (D)	· •	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Class A Common Stock								685,163	I	As Co-Trustee for Frank B. Holding Revocable Trust	
Class A Common Stock								11,140	I	As beneficiary of Trust	

Class A Common Stock						8,370 (1)	I	By Spouse
Class A Common Stock						19,400 (1)	I	As custodian for B.P. Holding
Class A Common Stock						11,258 (1)	I	By daughter, Barbara P. Holding
Class A Common Stock						17,350 (1)	I	As custodian for L.R. Holding II
Class A Common Stock						8,523 <u>(1)</u>	I	By son, Lewis R. Holding II
Class B Common Stock						99,409	D	
Class B Common Stock						2,122	I	As beneficiary of Trust
Class B Common Stock						1,399 (1)	I	By spouse
Class B Common Stock						178 (1)	I	As custodian for F. B. Holding III
Class B Common Stock						134 (1)	I	By son Frank B. Holding III
Class B Common Stock	06/27/2016	P	100	A	\$ 215	13,224 (1)	I	As custodian for B. P. Holding
Class B Common Stock	06/27/2016	P	100	A	\$ 217	13,324	I	As custodian for B. P. Holding
Class B Common Stock	06/28/2016	P	200	A	\$ 219	13,524	I	As custodian for B. P. Holding
Class B Common Stock						14,383 (1)	I	By daughter Barbara P. Holding
Class B Common	06/27/2016	P	100	A	\$ 218	10,607 (1)	I	As custodian for L.R.

Stock								Holding II
Class B Common Stock	06/27/2016	P	100	A	\$ 219	10,707	I	As custodian for L.R. Holding II
Class B Common Stock						18,450 <u>(1)</u>	Ι	By son Lewis R. Holding II

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amor Unde Secur	le and ant of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships								
reporting owner runner runners	Director	10% Owner	Officer	Other					
HOLDING FRANK B JR 4300 SIX FORKS ROAD RALEIGH, NC 27609	X	X	Chairman and CEO						

# **Signatures**

Frank B. Holding, Jr., By: William R. Lathan, Jr.,
Attorney-in-fact
06/29/2016

\*\*Signature of Reporting Person Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the
- (1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.