

BOX INC
Form 4
June 13, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
LEVIN DANIEL J

(Last) (First) (Middle)

900 JEFFERSON AVENUE

(Street)

REDWOOD CITY, CA 94063

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
BOX INC [BOX]

3. Date of Earliest Transaction
(Month/Day/Year)
06/09/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President & COO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	06/09/2016		C	83,011 A \$ 0	83,011	I	See footnote <u>(1)</u>
Class A Common Stock	06/09/2016		J ⁽²⁾	83,011 D \$ 0 0		I	See footnote <u>(1)</u>
Class A Common Stock	06/09/2016		C	83,011 A \$ 0	83,011	I	See footnote <u>(3)</u>
Class A Common	06/09/2016		J ⁽⁴⁾	83,011 D \$ 0 0		I	See footnote <u>(3)</u>

Stock

Class A

Common

Stock

3,079 ⁽⁵⁾

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Class B Common Stock ⁽⁶⁾	⁽⁶⁾					⁽⁶⁾ ⁽⁶⁾	Class A Common Stock 1,388,600
Class B Common Stock ⁽⁶⁾	⁽⁶⁾	06/09/2016		C	83,011	⁽⁶⁾ ⁽⁶⁾	Class A Common Stock 83,011
Class B Common Stock ⁽⁶⁾	⁽⁶⁾	06/09/2016		C	83,011	⁽⁶⁾ ⁽⁶⁾	Class A Common Stock 83,011

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LEVIN DANIEL J 900 JEFFERSON AVENUE REDWOOD CITY, CA 94063	X		President & COO	

Signatures

/s/ Peter McGoff,
Attorney-in-Fact

06/13/2016

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The shares are held of record by the Daniel Levin GRAT dated 12/10/13 ("Levin GRAT"), for which the Reporting Person serves as trustee.
- (2) Shares of Class A Common Stock were distributed pursuant to the terms of, and in connection with, the termination of the Levin GRAT.
- (3) The shares are held of record by the Naomi J. Andrews GRAT dated 12/10/13 ("Andrews GRAT"), for which the Reporting Person's spouse serves as trustee.
- (4) Shares of Class A Common Stock were distributed pursuant to the terms of, and in connection with, the termination of the Andrews GRAT.
- (5) These shares were acquired by the Reporting Person pursuant to the Issuer's Employee Stock Purchase Plan.
- (6) Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.
This amount reflects the transfer of 51,173 shares of Class B Common Stock from the Levin GRAT and 51,173 shares of Class B Common Stock from the Andrews GRAT to Daniel J. Levin and Naomi J. Andrews, as Trustees of the Levin/Andrews Family Trust dated 9/18/99 ("Levin/Andrews Family Trust") as an annuity payment.
- (8) The shares are held of record by Daniel J. Levin and Naomi J. Andrews, as Trustees of the Levin/Andrews Family Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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