Edgar Filing: Otter Tail Corp - Form 4

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| Form 4 | • | | | | | | | | | |
|---|---|---|---|---|--------------------|--|--|--|---|--|
| April 12, 2016 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). April 12, 2016 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | | | |
| (Print or Type Ro | esponses) | | | | | | | | | |
| BOHN KAREN Symbo | | | er Name and Ticker or Trading Fail Corp [OTTR] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| 215 S CASCADE ST 04/11/ (Street) 4. If An | | | 3. Date of Earliest Transaction (Month/Day/Year) 04/11/2016 | | | | (Check all applicable) X_ Director 10% Owner Officer (give title Other (specify | | | |
| | | | Amendment, Date Original d(Month/Day/Year) | | | | below) below) 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| FERGUS FA | LLS, MN 56537 | -2801 | | | | | Form filed by M Person | More than One Ro | eporting | |
| (City) | (State) (| Zip) Tab | le I - Non-De | erivative S | Securi | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Transaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year) | | 4. Securi onAcquired Disposed (Instr. 3, Amount | l (A) o l of (D |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 04/11/2016 | | A <u>(1)</u> | 2,900 | А | <u>(2)</u> | 30,950 <u>(3)</u> | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | of (N | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | Amor Unde Secur | le and unt of rlying rities : 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|---------------------------------------|-------|-----|--|--------------------|-----------------------|--|---|--|
| Pana | rting O | WDoro | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | | |
|---|---------------|------------|---------|-------|--|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | | |
| BOHN KAREN | | | | | | | | | |
| 215 S CASCADE ST | Х | | | | | | | | |
| FERGUS FALLS, MN 56537-2801 | | | | | | | | | |
| Signatures | | | | | | | | | |
| /s/Karen M Bohn by Ella Leapaldt, | | | | | | | | | |
| Attorney-in-Fact | | 04/12/2016 | | | | | | | |
| <u>**</u> Signature of Reporting Person | | | Date | | | | | | |
| Explanation of Responses: | | | | | | | | | |

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares of Common Stock acquired under the 2014 Sock Incentive Plan, which is a grant of Restricted Stock. The 2,900 shares granted (1) 4/11/2016 vest 25% yearly commencing on 4/8/2017.
- (2) This grant has a fair market value of \$28.66.
- (3) Total direct holdings include shares acquired individually and pursuant to Restricted Stock Award distributions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.